

# Next generation risk assessment (NGRA)

Paul Russell



Unilever

# Outline

## PART ONE

- Introduction to Next Generation Risk Assessment (NGRA): concepts and tools

## PART TWO - Worked example

- Exposure information and collation of existing information
- *In vitro* biological activity characterisation
- Risk assessment conclusion

# Can we use a new ingredient safely?

- Can we safely use **x%** of ingredient **y** in product **z**?



# PART ONE

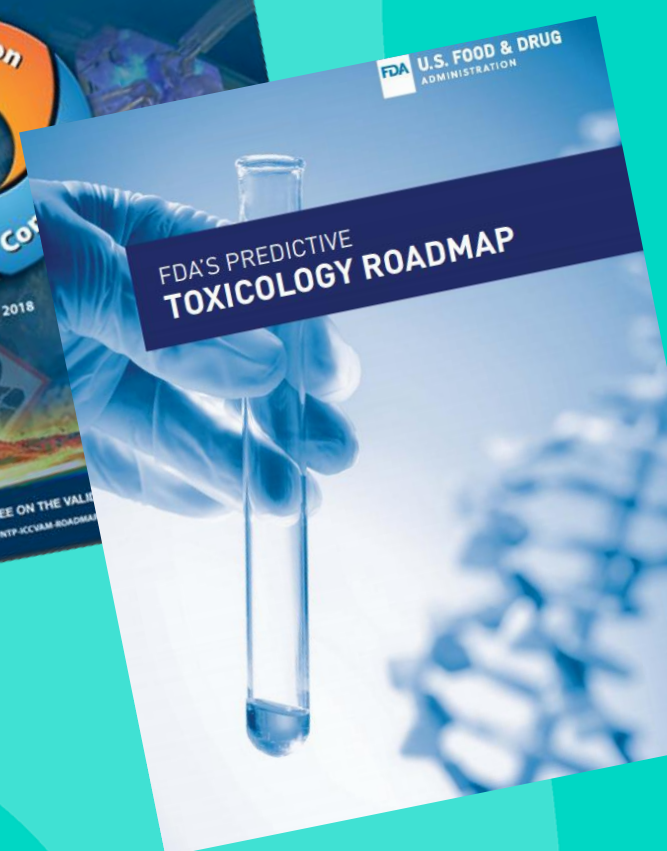
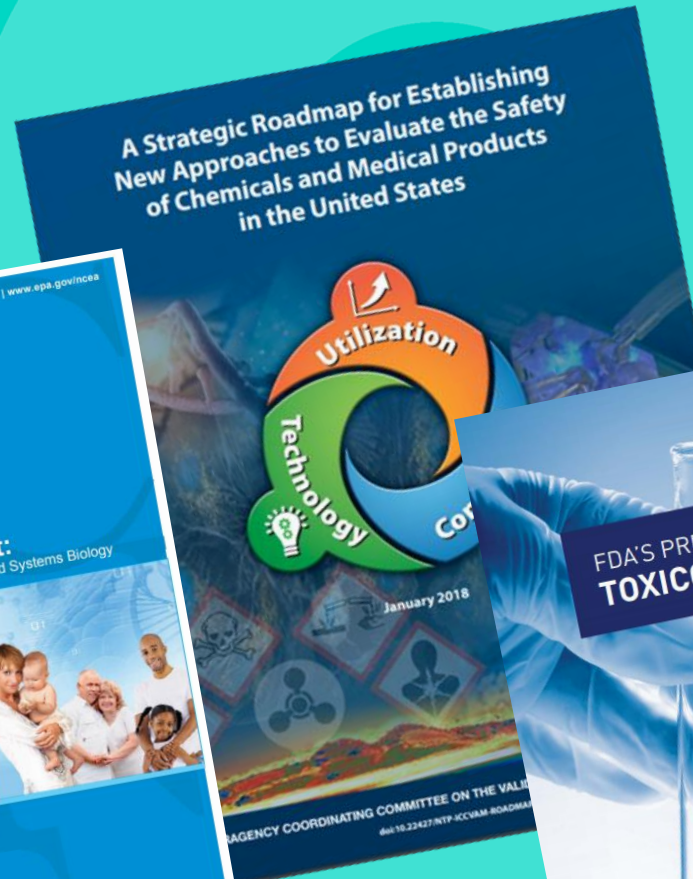
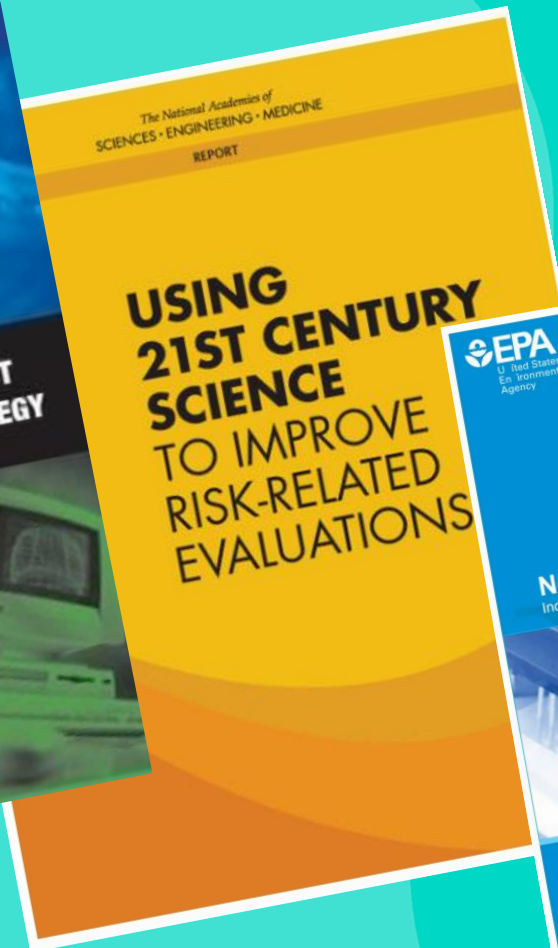
Introduction to Next Generation Risk  
Assessment (NGRA):  
concepts and tools



Unilever



2007 →



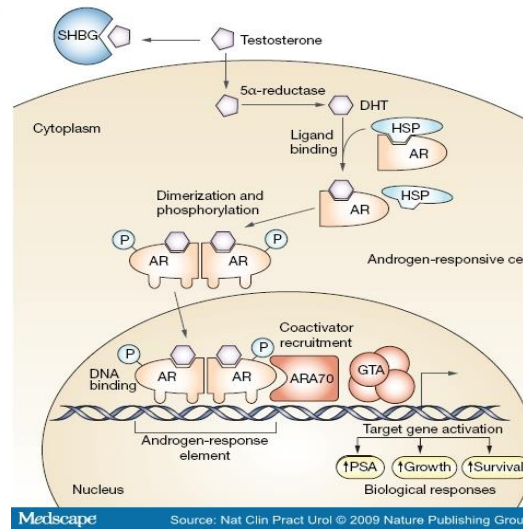
# Next Generation Risk assessment (NGRA)

## What is NGRA?

- Using new tools and approaches (NAMs – New Approach Methodologies) to build a risk assessment to enable decisions to be made
- An exposure-led risk assessment solution to biological pathway-indicated hazard concerns

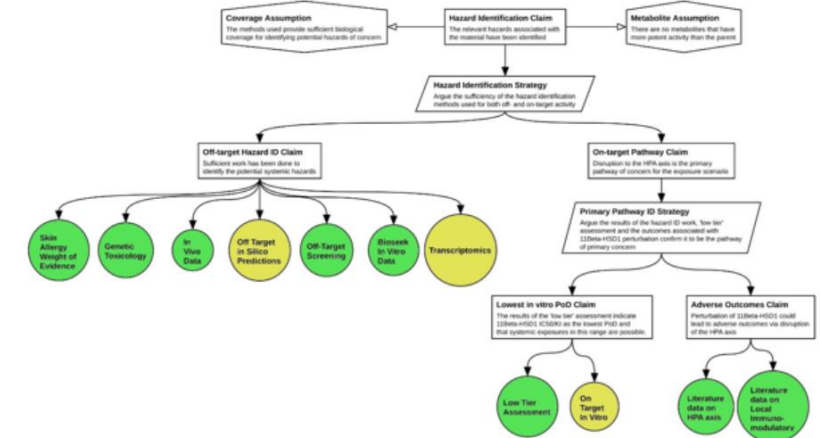


Exposure led



Mechanistic

### Hazard Identification



Hypothesis driven

# ICCR Nine principles of NGRA



4

## Main overriding principles:

- The overall goal is a human safety risk assessment
- The assessment is exposure led
- The assessment is hypothesis driven
- The assessment is designed to prevent harm

3

## Principles describe how a NGRA should be conducted:

- Following an appropriate appraisal of existing information
- Using a tiered and iterative approach
- Using robust and relevant methods and strategies

2

## Principles for documenting NGRA:

- Sources of uncertainty should be characterized and documented
- The logic of the approach should be transparent and documented



# NGRA: The overall goal is a human safety risk assessment



**Tox21/ToxCast**  
**~700 HTS Biological Pathways Assays**



“Advances in toxicogenomics, bioinformatics, systems biology, and computational toxicology could transform toxicity testing from a system based on whole-animal testing to one founded primarily on *in vitro* methods that evaluate changes in biologic processes using cells, cell lines, or cellular components, preferably of human origin.” 2007

**National Institute of Environmental Health Sciences (NIEHS) / National Toxicology Program (NTP)**

**National Center for Advancing Translational Sciences (NCATS)**

**U.S. Food and Drug Administration (FDA)**

**National Center for Computational Toxicology (EPA)**

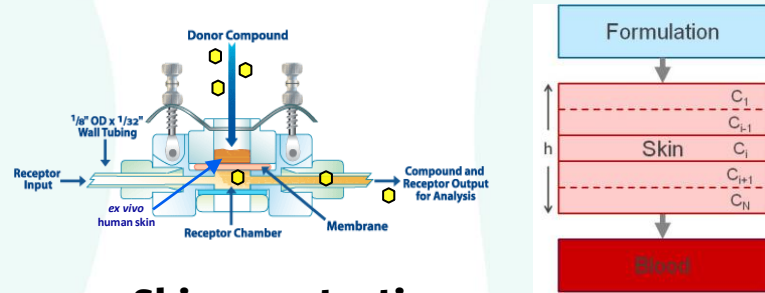


# NGRA: The assessment is exposure-led

- **Route of exposure**
- **Consumer use (Habits & Practices)**
- **Applied dose (external concentration)**

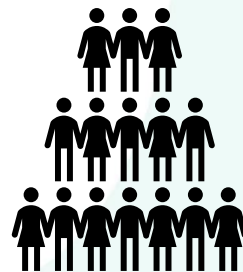


## ADME parameters

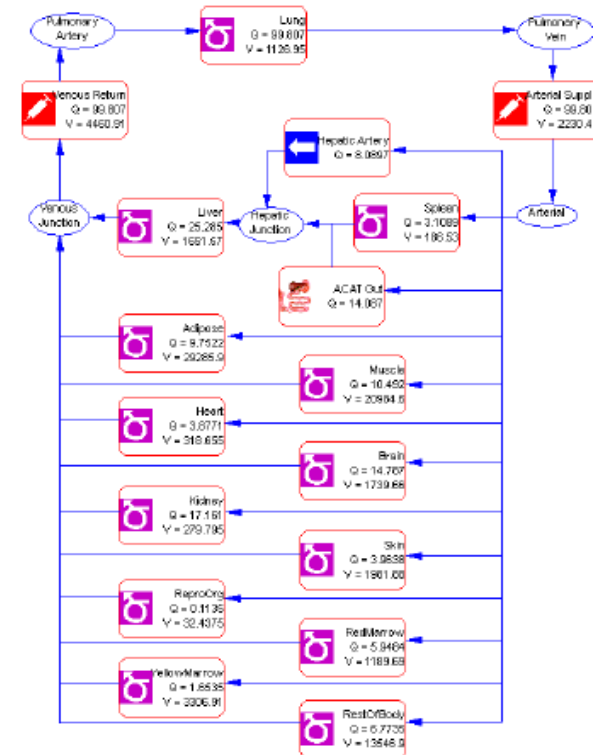


- **Skin penetration**
- **Phys-chem properties**
- **Hepatic clearance**
- **Fraction unbound**
- **blood:plasma ratio**

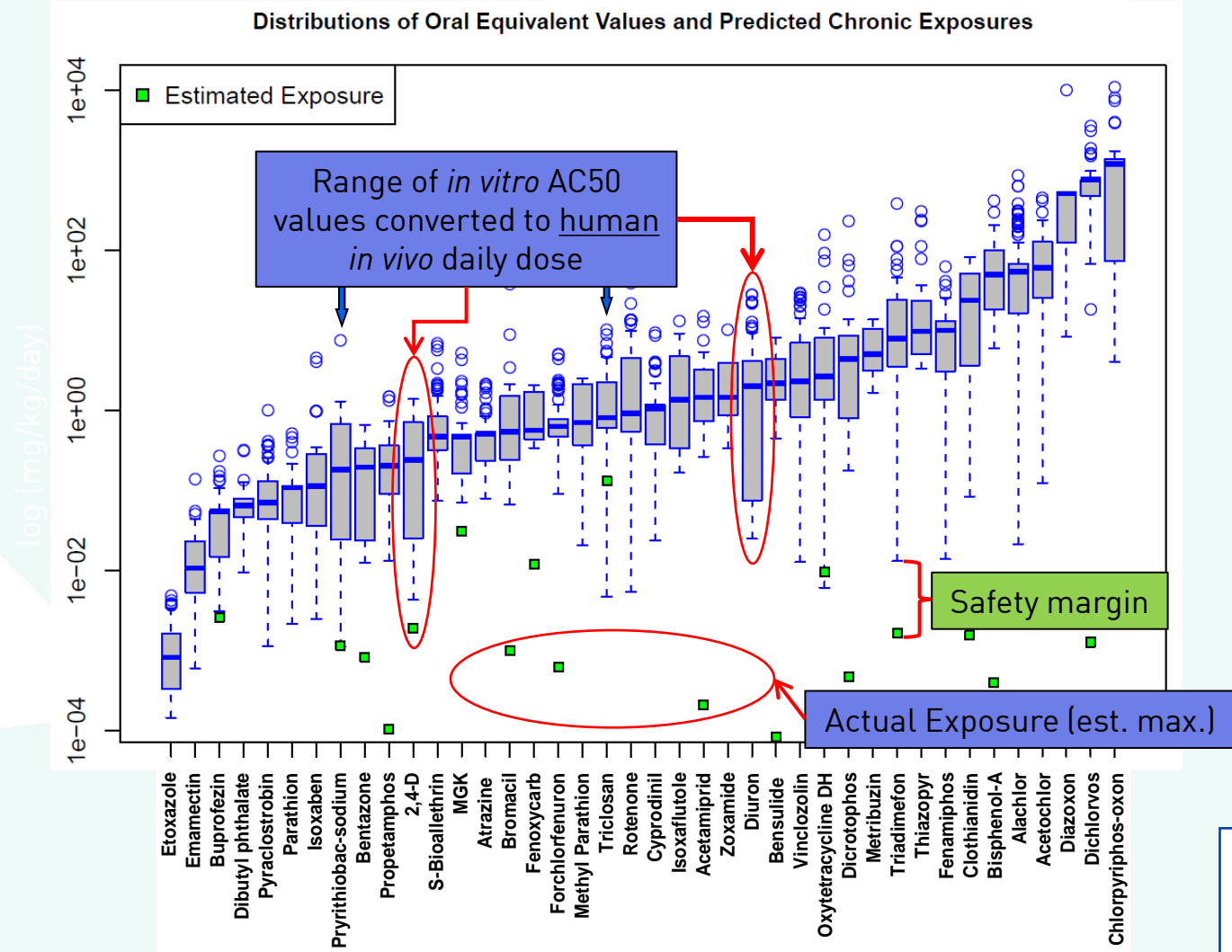
## Uncertainty analysis- Population simulation



## Physiologically-based kinetic (PBK) modelling - Internal concentration (plasma, urine, organ-level)



# NGRA: The assessment is designed to prevent harm



The philosophy behind this type of risk assessment aimed at preventing harm is **based on the premise of "Protection not Prediction"**.

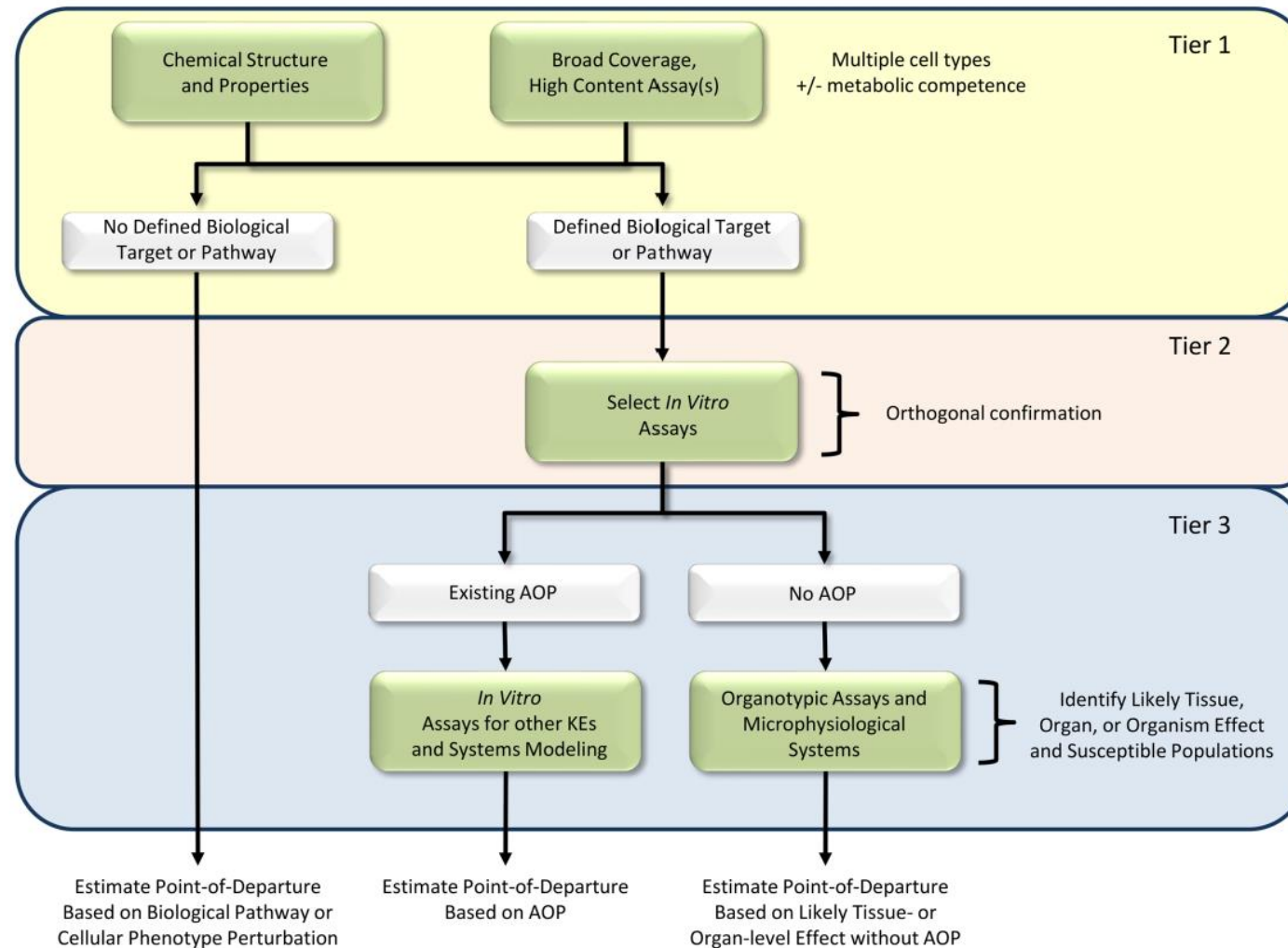
The hypothesis underpinning this type of NGRA is that **if there is no bioactivity observed at consumer-relevant concentrations, there can be no adverse health effects.**

Slide from Dr Rusty Thomas, EPA, with thanks

Rotroff, et al. Tox.Sci 2010



# NGRA: The assessment is hypothesis driven & should be conducted Using a tiered and iterative approach

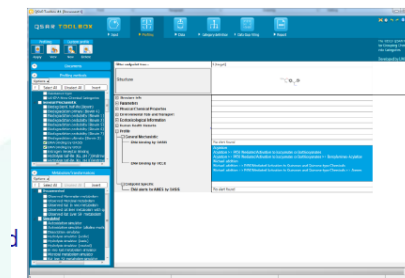
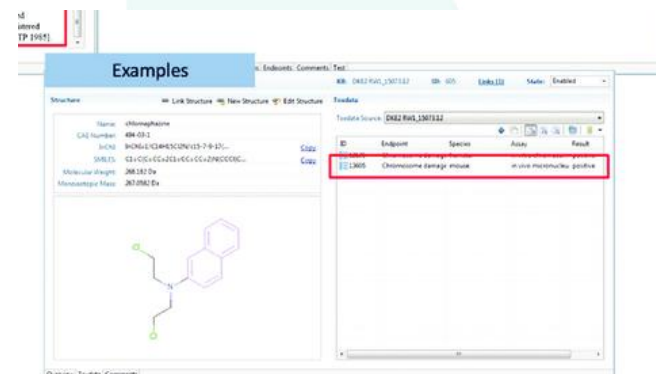
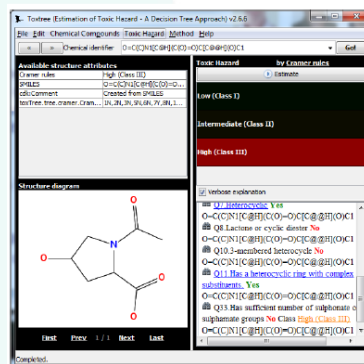


# NGRA: Using robust and relevant methods and strategies to characterise bioactivity

## In silico tools



### ToxTree



## In silico models to predict Molecular initiating events (MIEs)



SOT | Society of Toxicology  
www.toxsci.oxfordjournals.org

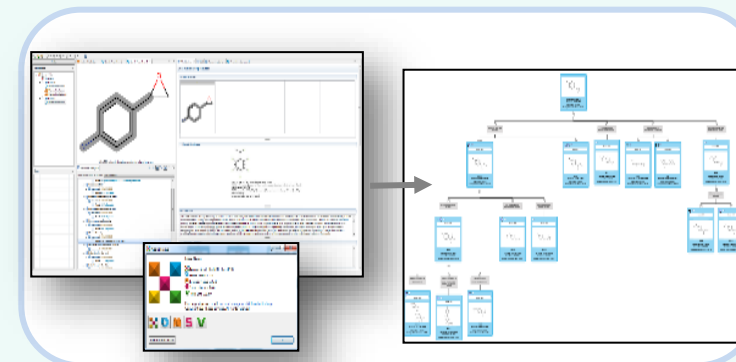


TOXICOLOGICAL SCIENCES, 165(1), 2018, 213–223

doi: 10.1093/toxsci/kfy144  
Advance Access Publication Date: July 18, 2018  
Research Article

### Using 2D Structural Alerts to Define Chemical Categories for Molecular Initiating Events

Timothy E. H. Allen,\* Jonathan M. Goodman,\*<sup>1</sup> Steve Gutsell,<sup>†</sup> and Paul J. Russell<sup>†</sup>



## Metabolic fate predictions





# NGRA: Using robust and relevant methods and strategies to characterise bioactivity

## OECD test methods

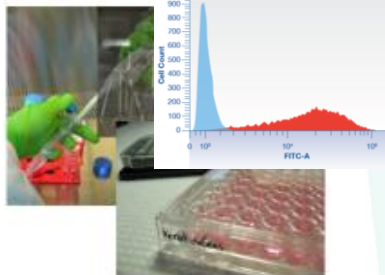


OECD TG437

OECD TG430/431  
OECD TG439

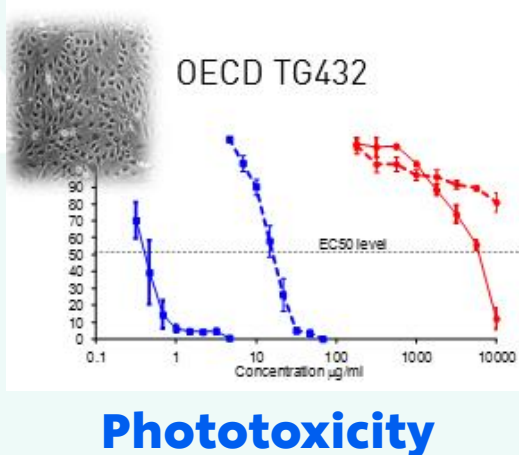
### Skin and eye irritation

OECD TG442C

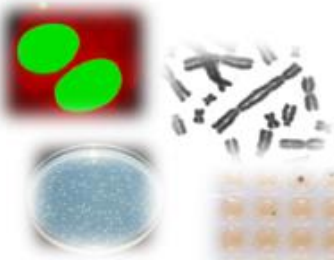


OECD TG442D

### Skin sensitisation



OECD TG473



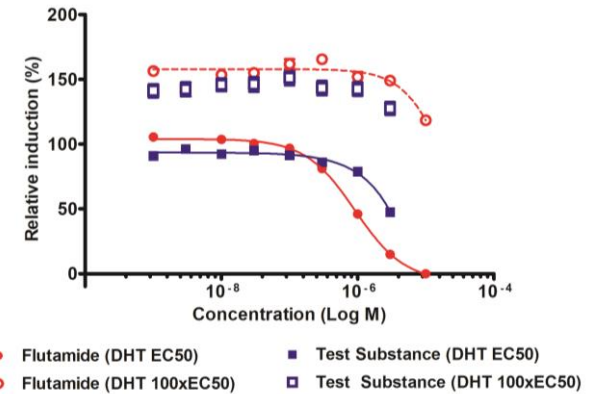
OECD TG471

OECD TG476

### Genotoxicity

## Receptor-binding assays

e.g. AR-CALUX<sup>®</sup> assay to measure androgen receptor activity



Dent et al (2019), Toxicological Science, 167, 375-384

### PERSPECTIVES

**A GUIDE TO DRUG DISCOVERY — OPINION**

#### Reducing safety-related drug attrition: the use of *in vitro* pharmacological profiling

Joanne Bowes, Andrew J. Brown, Jacques Hamon, Wolfgang Joralek, Arun Sridhar, Corbett Wallron and Steven Whitbread

**Abstract:** *In vitro* pharmacological profiling is increasingly being used earlier in the drug discovery process to identify undesirable off-target activity profiles that could hinder or halt the development of candidate drugs or even lead to market withdrawal if discovered after a drug is approved. Here, for the first time, the rationale, strategies and methodologies for *in vitro* pharmacological profiling at four major pharmaceutical companies (AstraZenca, GlaxoSmithKline, Novartis and Pfizer) are presented and illustrated with examples of their impact on the drug discovery process. We hope that this will enable other companies and academic institutions to benefit from this knowledge and consider joining in our collaborative knowledge sharing.

Decreasing the high attrition rate in the drug discovery and development process is a primary goal of the pharmaceutical industry. One of the main challenges in achieving this goal is making an appropriate balance between drug efficacy and potential adverse effects. It is not possible to reduce safety-related attrition, particularly in the more expensive late stages of clinical development. Gaining a better understanding of the safety profile of drug candidates early in the process is also crucial for reducing the likelihood of safety issues limiting the use of approved drugs, or even leading to their market withdrawal, having to recall the drug, or causing patient and/or animal deaths.

target (or targets), whereas secondary effects are due to interactions with targets other than the primary target (or targets) (that is, off-target interactions). Off-target interactions are often the cause of ADRs in animal models or clinical studies, and so careful characterisation and identification of secondary pharmacological profiles of drug candidates early in the drug discovery process might help to reduce the incidence of type A ADRs.

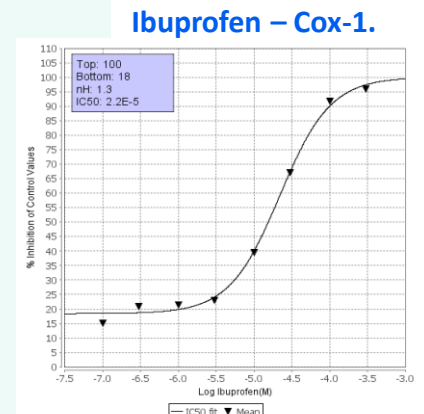
*In vitro* pharmacological profiling involves the screening of compounds against a broad range of targets (receptors, ion channels, enzymes and transporters) that are distinct from the primary

safety testing of drug candidates and are designed to prevent serious ADRs from occurring in clinical studies.

The only *in vitro* pharmacology assay that is routinely required by regulatory authorities to cover the measures the effects of new chemical entities on the ions current of human  $Ca_v1$  (heterologously expressed human voltage-gated potassium channel subunit 2) (hKv2.2) (EC50), also known as hKv2.2. The mechanism by which block of hKv2.2 can lead potentially fatal cardiac arrhythmias (torsades de pointes) following a prolonged QT interval is well characterised, and this assay is a mandatory regulatory requirement. Receptor binding studies are also recommended as the first-tier approach for the assessment of the degree of potential of novel chemical entities.

However, current regulatory guidance does not describe which targets should constitute an *in vitro* pharmacological profiling panel and does not include the stage of the discovery process in which *in vitro* pharmacological profiling should occur. Nevertheless, the present review for most pharmaceutical companies is to perform this testing early in drug discovery to reduce attrition and to facilitate better prediction of ADRs in the later stages of drug discovery and development.

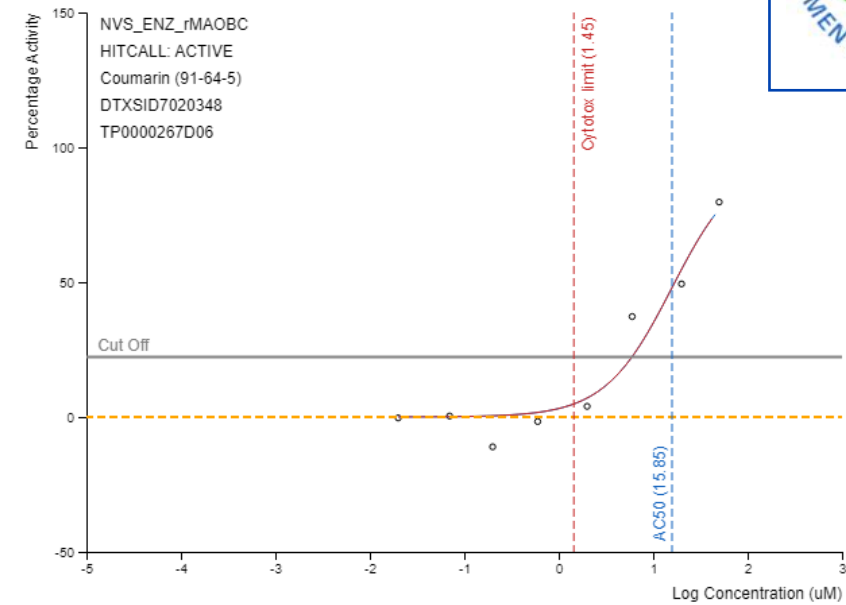
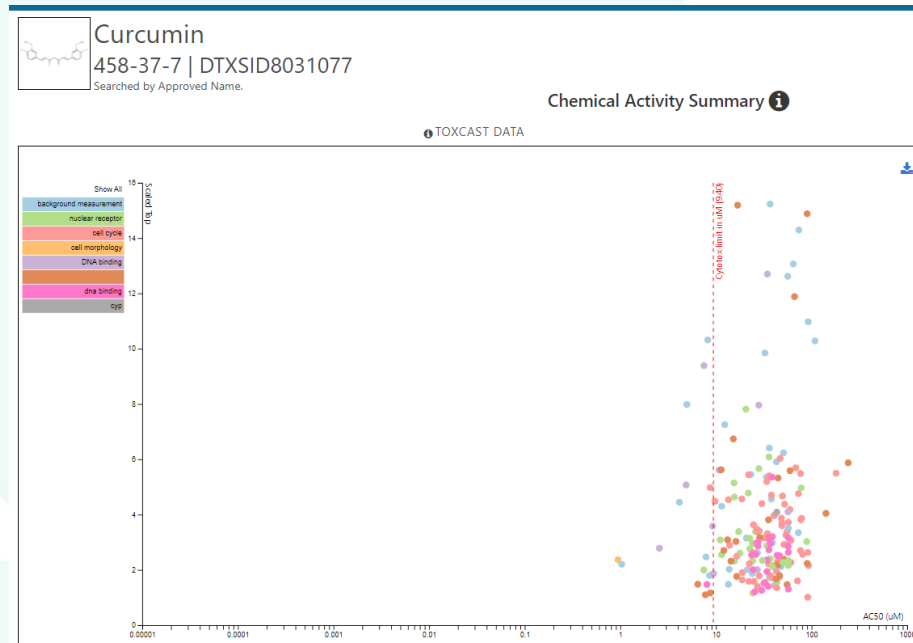
Here, for the first time, four major pharmaceutical companies (AstraZenca, GlaxoSmithKline, Novartis and Pfizer) share their knowledge and experience of the innovative application of systematic screening to biologists to detect off-target interactions of compounds. The objective of this article is to describe the rationale and main advantages for the use of *in vitro* pharmacological profiling to detect both predicted and un-



# NGRA: Using robust and relevant methods and strategies to characterise bioactivity

## Tox21/ToxCast ~700 HTS Biological Pathways Assays

EPA iCSS ToxCast Dashboard

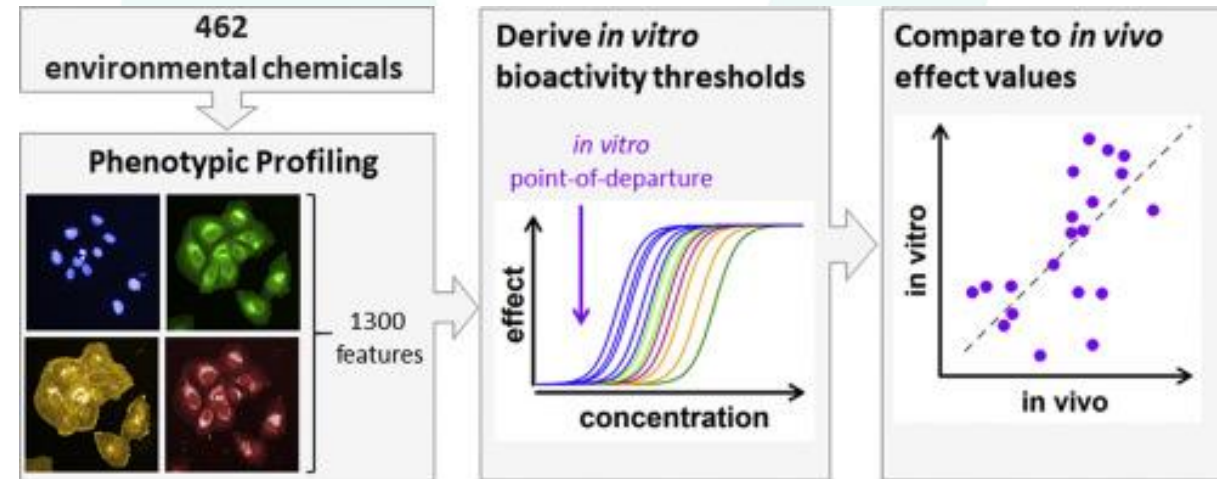
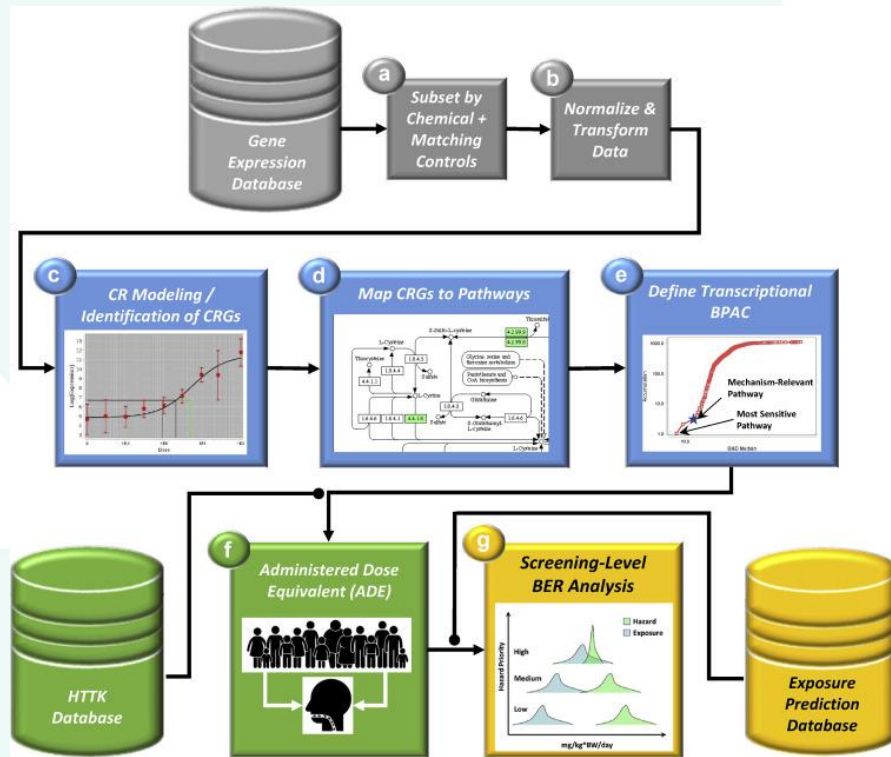


Winning Model	Model	AIC	RMSE	Top	AC50	Slope
	Constant	81.4	35.91	-	-	-
	Gain-Loss	66.07	7.17	95.63	15.77	1.23
✓	Hill	62.07	7.17	95.63	15.78	1.23

- Nuclear receptors
- Transcription factors
- Cell stress/mitochondrial tox
- Enzymatic assays
- Receptor binding
- DNA damage/cell cycle

# NGRA: Using robust and relevant methods and strategies to characterise bioactivity

High-throughput transcriptomics and High-throughput phenotypic profiling developed to increase biological coverage



Nyffeler J et al 2019. Bioactivity screening of environmental chemicals using imaging-based high-throughput phenotypic profiling. *Toxicol Appl Pharmacol.* 2020;389:114876.

Harrill J et al 2019. Considerations for strategic use of high-throughput transcriptomics chemical screening data in regulatory decisions. *Current Opinion in Toxicology* 15, 64-75





# NGRA: Using robust and relevant methods and strategies to characterise bioactivity

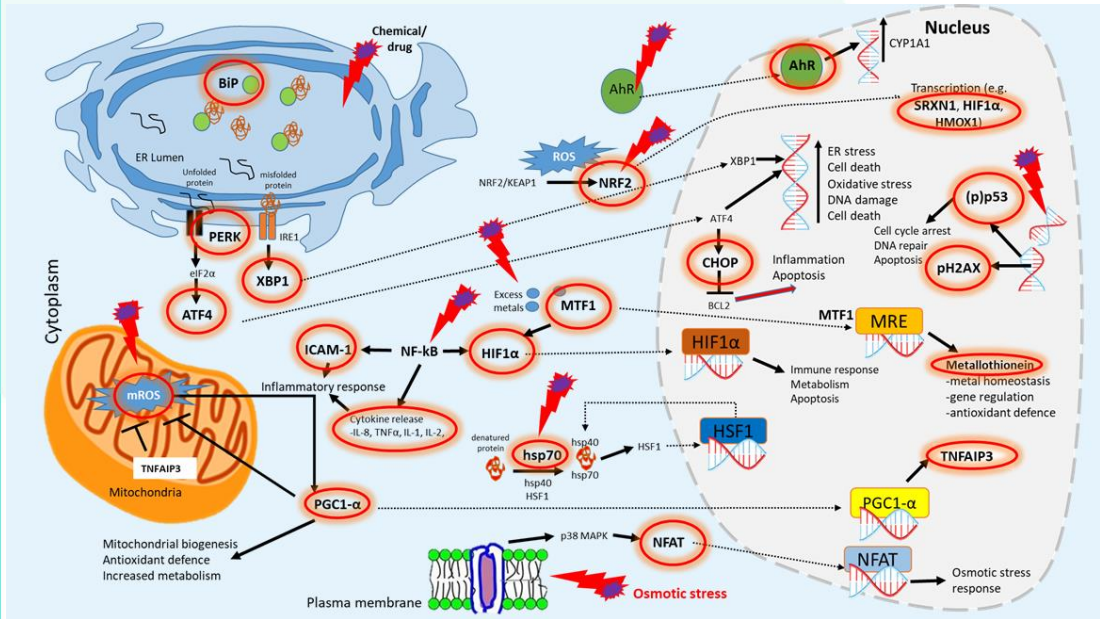


Image kindly provided by Paul Walker (Cyprotex)

**36 biomarkers identified that were representative of key stress pathways, mitochondrial toxicity and cell health.**



TOXICOLOGICAL SCIENCES, 2020, 1-23

doi: 10.1093/toxsci/kfaa054  
 Advance Access Publication Date: May 6, 2020  
 Research article

## Identifying and Characterizing Stress Pathways of Concern for Consumer Safety in Next-Generation Risk Assessment

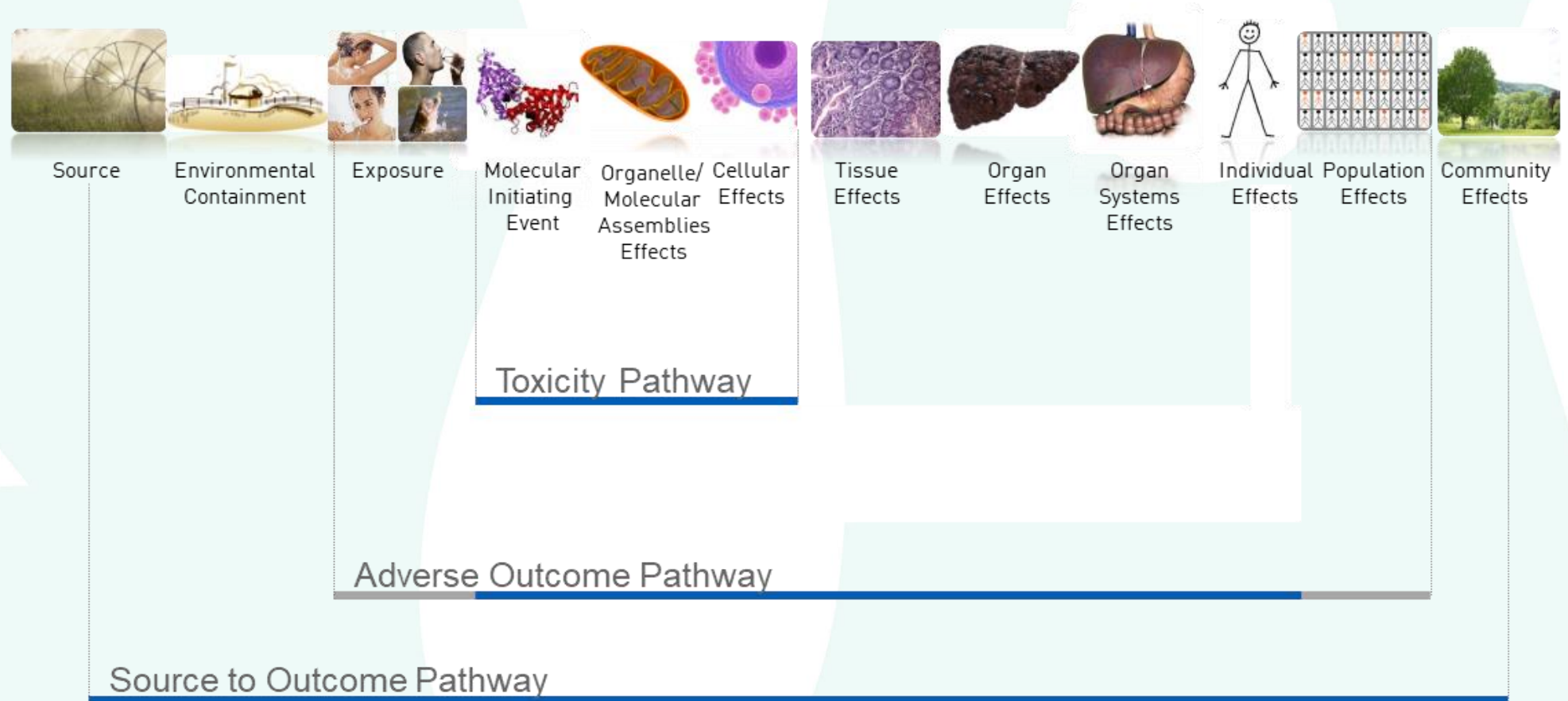
Sarah Hatherell,\* Maria T. Baltazar,\* Joe Reynolds,\* Paul L. Carmichael,\* Matthew Dent,\* Hequn Li,\* Stephanie Ryder,<sup>†</sup> Andrew White,\* Paul Walker ,<sup>†</sup> and Alistair M. Middleton\*<sup>1</sup>

<sup>1</sup>Unilever Safety and Environmental Assurance Centre, Colworth Science Park, Sharnbrook, Bedfordshire



# For some chemicals pathway-based risk assessment might be needed

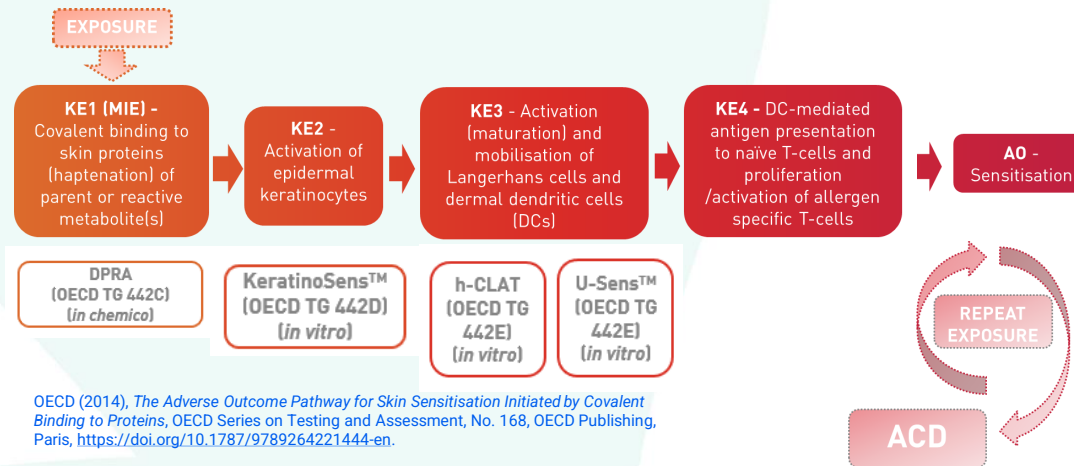
## Adverse Outcome Pathway (AOP) risk assessment



# For some chemicals pathway-based risk assessment might be needed

## Examples of Adverse Outcome Pathway (AOP) risk assessment

### Induction of skin sensitisation that leads to allergic contact dermatitis



Computational Toxicology 9 (2019) 36–49



Contents lists available at ScienceDirect

Computational Toxicology

journal homepage: [www.elsevier.com/locate/comtox](http://www.elsevier.com/locate/comtox)



Probabilistic prediction of human skin sensitiser potency for use in next generation risk assessment

Joe Reynolds<sup>a,\*</sup>, Cameron MacKay, Nicola Gilmour, David Miguel-Vilumbrales, Gavin Maxwell

Unilever Safety and Environmental Assurance Centre, Colworth Science Park, Sharnbrook, Bedford MK44 1LQ, UK



### Anti-androgenic and estrogenic effects



TOXICOLOGICAL SCIENCES, 167(2), 2019, 375–384

doi: 10.1093/toxsci/kfy245  
Advance Access Publication Date: September 22, 2018  
Research Article

#### Employing Dietary Comparators to Perform Risk Assessments for Anti-Androgens Without Using Animal Data

Matthew P. Dent,<sup>a,1</sup> Hequn Li,<sup>\*</sup> Paul L. Carmichael,<sup>\*</sup> and Francis L. Martin<sup>†</sup>

<sup>\*</sup>Safety and Environmental Assurance Centre, Unilever, Colworth Science Park, Bedfordshire MK44 1LQ, UK; and <sup>†</sup>School of Pharmacy and Biomedical Sciences, University of Central Lancashire, Preston, UK

Regulatory Toxicology and Pharmacology 71 (2015) 398–408



Contents lists available at ScienceDirect

Regulatory Toxicology and Pharmacology

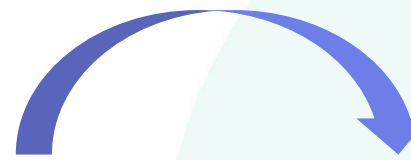
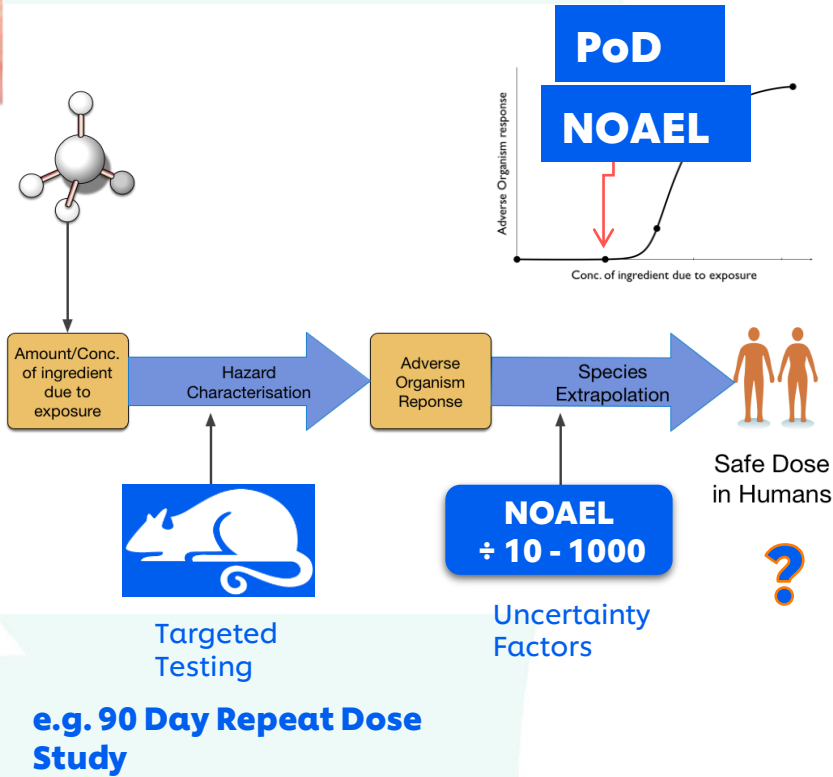
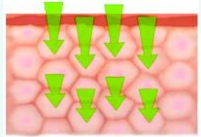
journal homepage: [www.elsevier.com/locate/yrtph](http://www.elsevier.com/locate/yrtph)

An exposure:activity profiling method for interpreting high-throughput screening data for estrogenic activity—Proof of concept

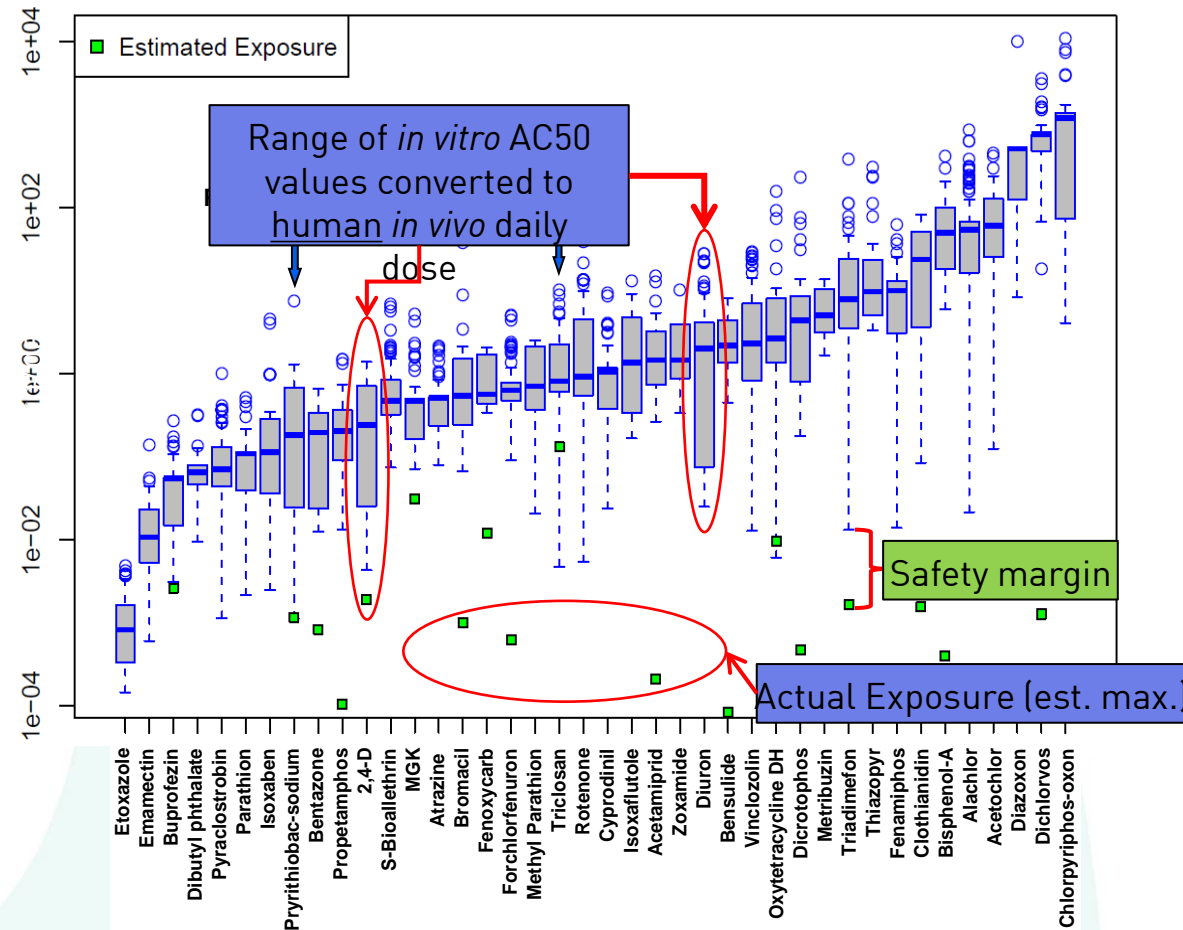
Richard A. Becker<sup>a,\*</sup>, Katie Paul Friedman<sup>b</sup>, Ted W. Simon<sup>c</sup>, M. Sue Marty<sup>d</sup>, Grace Patlev J. Craig Rowlands<sup>d</sup>

# NGRA: the margin of safety (MoS) approach and decision making

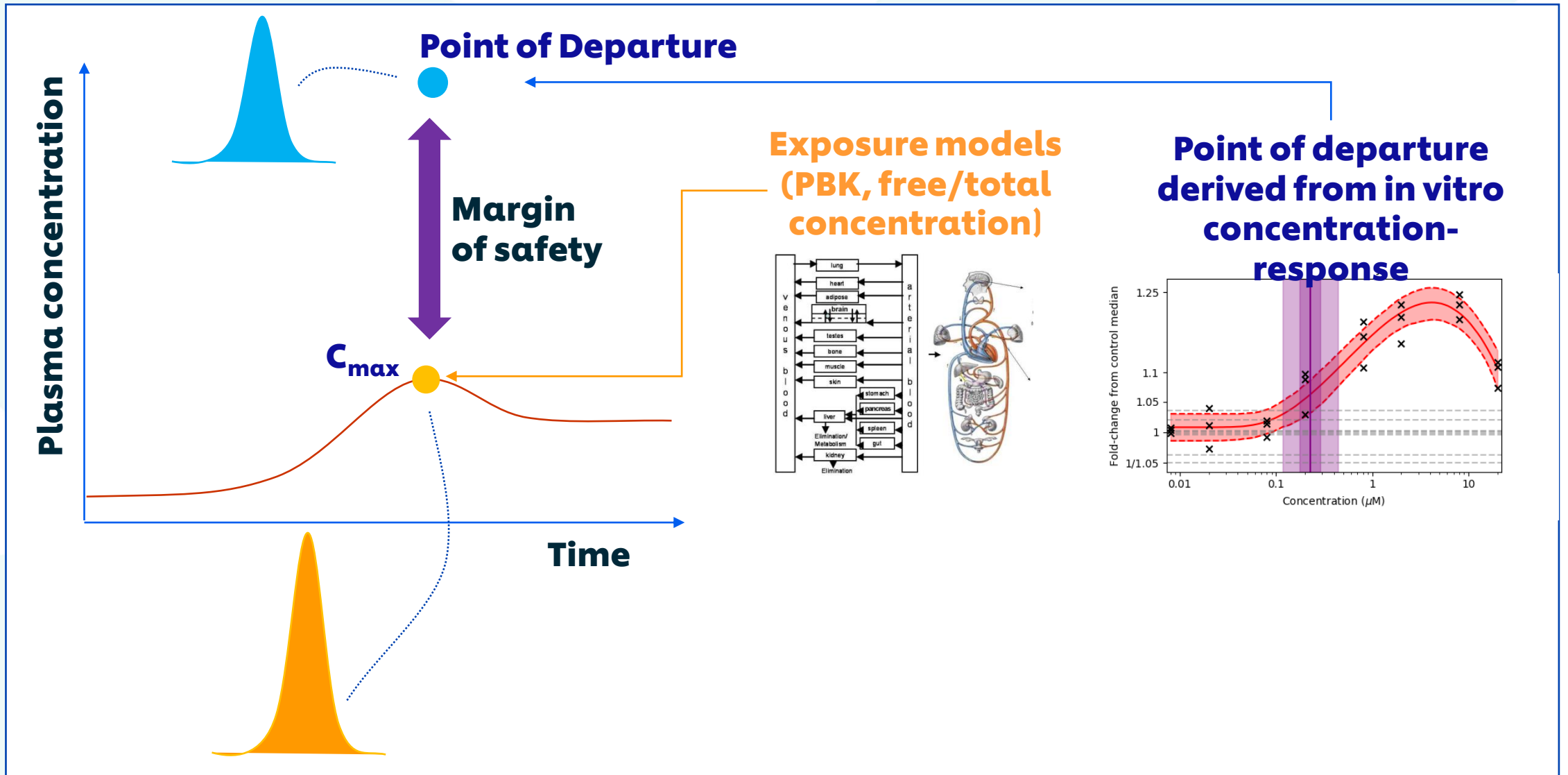
Is it safe?



Distributions of Oral Equivalent Values and Predicted Chronic Exposures



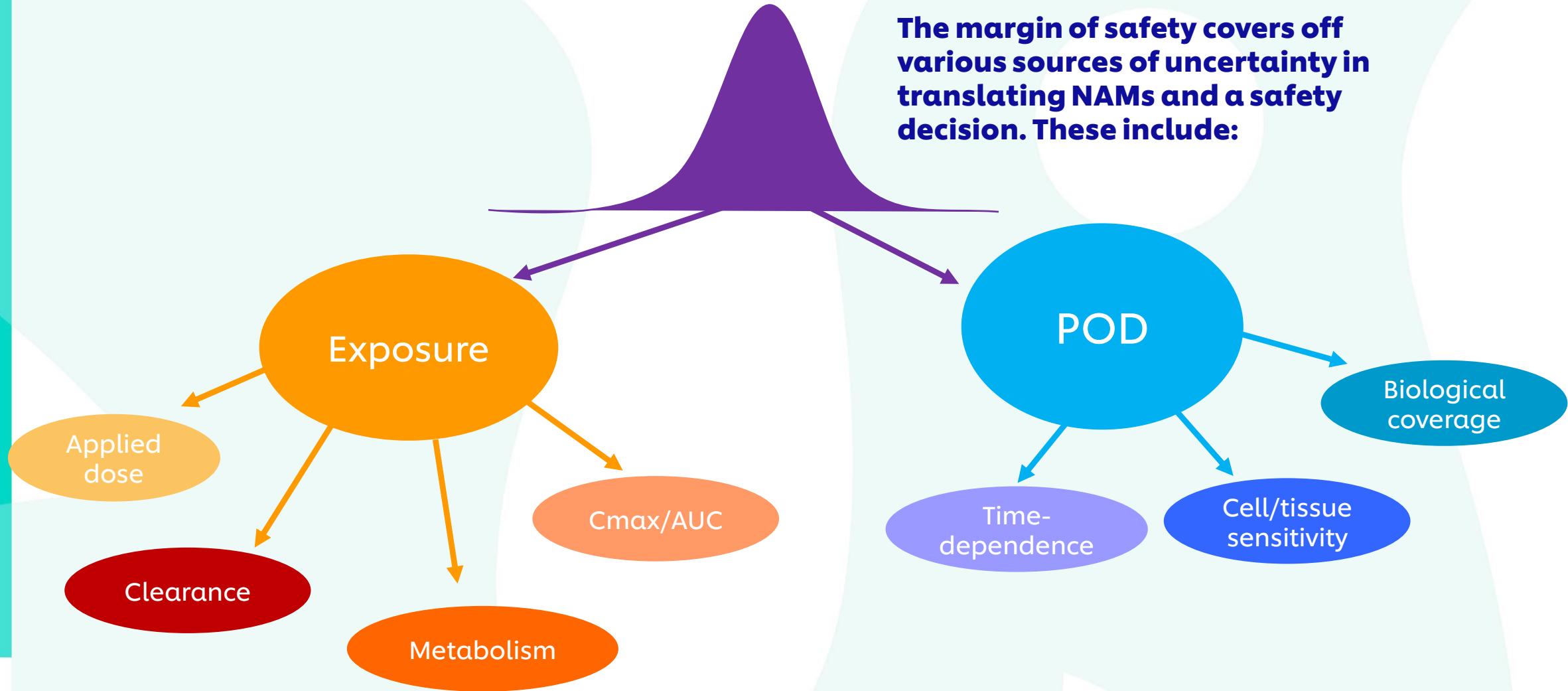
# Margin of Safety





# NGRA: Sources of uncertainty should be characterized and documented

The margin of safety covers off various sources of uncertainty in translating NAMs and a safety decision. These include:



# PART TWO

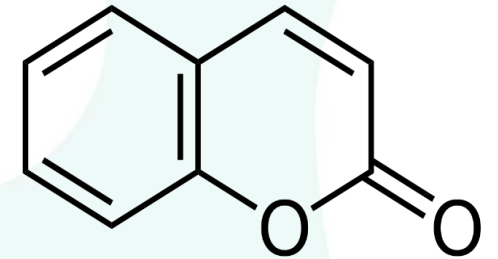
## Case Study Example



Unilever

# A theoretical case study approach – human health safety assessment required for...

## 0.1% COUMARIN IN FACE CREAM FOR EU MARKET (NEW FRAGRANCE)



### Assumed that:

- Coumarin was 100% pure
- no *in vivo* data was available such as animal data, History of Safe Use (HoSU) info. or Clinical data
- no use of animal data in Read Across
- *In silico* alerts known to be based on animal or *in vivo* data or on the structure of Coumarin itself were excluded

# Extra reading....

## Baltazar *et al* (2020) [A Next-Generation Risk Assessment Case Study for Coumarin in Cosmetic Products](#). *Toxicological Sciences*, 176, 236-252




SOT | Society of Toxicology  
academic.oup.com/toxsci

TOXICOLOGICAL SCIENCES, 176(1), 2020, 236-252

doi: 10.1093/toxsci/kfaa048  
Advance Access Publication Date: April 10, 2020  
Research article

### A Next-Generation Risk Assessment Case Study for Coumarin in Cosmetic Products

Maria T. Baltazar,<sup>1</sup> Sophie Cable, Paul L. Carmichael, Richard Cubberley, Tom Cull, Mona Delagrange, Matthew P. Dent, Sarah Hatherell, Jade Houghton, Predrag Kukic, Hequn Li, Mi-Young Lee, Sophie Malcomber, Alistair M. Middleton, Thomas E. Moxon , Alexis V. Nathanail, Beate Nicol, Ruth Pendlington, Georgia Reynolds, Joe Reynolds, Andrew White, and Carl Westmoreland

Unilever Safety and Environmental Assurance Centre, Colworth Science Park, Sharnbrook, Bedfordshire MK44 1LQ, UK

<sup>1</sup>To whom correspondence should be addressed. Fax: +44(0)1234 264 744. E-mail: maria.baltazar@unilever.com.

#### ABSTRACT

Next-Generation Risk Assessment is defined as an exposure-led, hypothesis-driven risk assessment approach that integrates new approach methodologies (NAMs) to assure safety without the use of animal testing. These principles were applied to a hypothetical safety assessment of 0.1% coumarin in face cream and body lotion. For the purpose of evaluating the use of NAMs, existing animal and human data on coumarin were excluded. Internal concentrations (plasma  $C_{max}$ ) were estimated using a physiologically based kinetic model for dermally applied coumarin. Systemic toxicity was assessed using a battery of *in vitro* NAMs to identify points of departure (PoDs) for a variety of biological effects such as receptor-mediated and immunomodulatory effects (Eurofins SafetyScreen44 and BioMap Diversity 8 Panel, respectively), and general bioactivity (ToxCast data, an *in vitro* cell stress panel and high-throughput transcriptomics). In addition, *in silico* alerts for genotoxicity were followed up with the ToxTracker tool. The PoDs from the *in vitro* assays were plotted against the calculated *in vivo* exposure to calculate a margin of safety with associated uncertainty. The predicted  $C_{max}$  values for face cream and body lotion were lower than all PoDs with margin of safety higher than 100. Furthermore, coumarin was not genotoxic, did not bind to any of the 44 receptors tested and did not show any immunomodulatory effects at consumer-

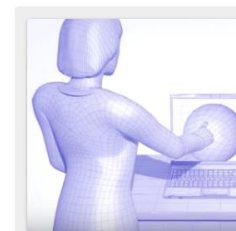


### Resources

Access publications, presentations and posters on our 21<sup>st</sup> century safety sciences produced by SEAC scientists, and also in collaboration with our scientific partners.



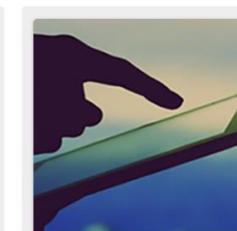
Publications



Presentations



Posters



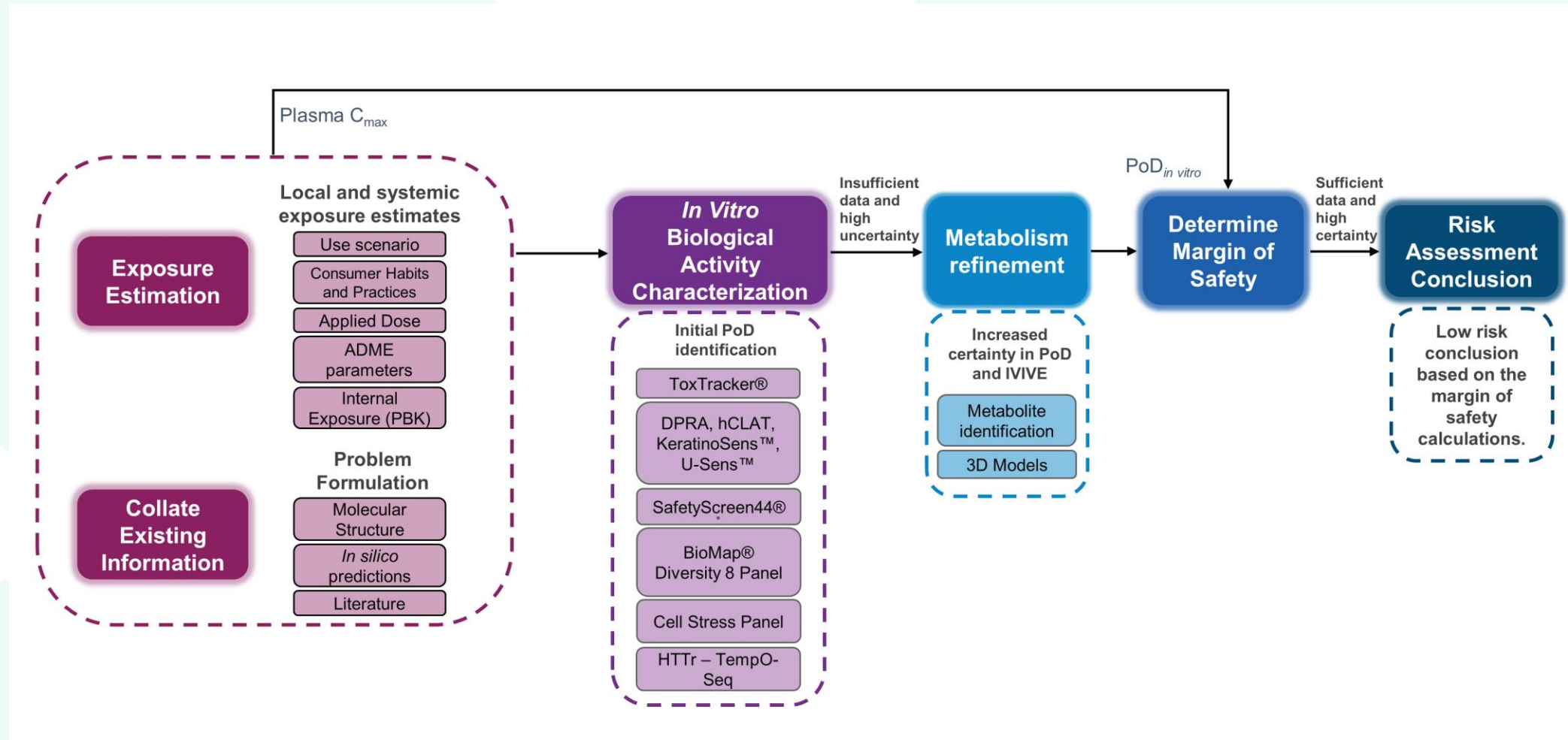
Learning Materials

[www.tt21c.org](http://www.tt21c.org)





# Next-Generation Risk Assessment case study workflow for 0.1% coumarin in face cream



Baltazar et al., *Toxicological Sciences*, Volume 176, Issue 1, July 2020, Pages 236–252  
<https://doi.org/10.1093/toxsci/kfaa048>

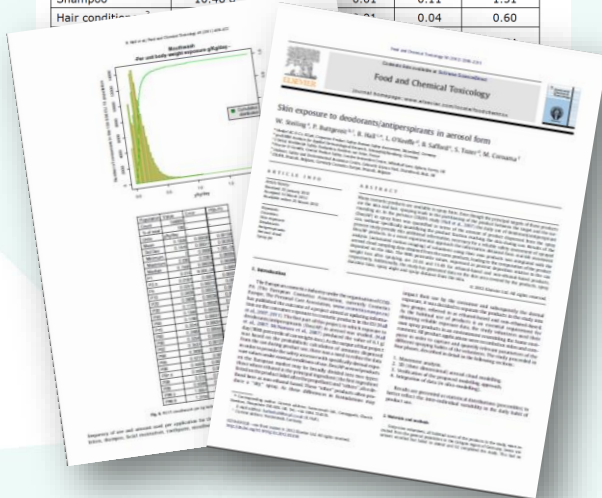
# **Exposure information and collation of existing information**

# NGRA for 0.1% coumarin in face cream: exposure estimation



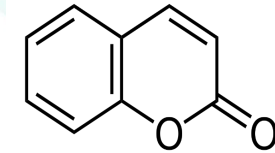
**Table 2:** Estimated daily exposure levels for different cosmetic product types according to Cosmetics Europe data (SCCNFP/0321/00; Hall et al., 2007, 2011).

Product type	Estimated daily amount applied (mg/kg bw/d)	Relative amount applied (mg/kg bw/d)	Retention factor <sup>1</sup>	Calculated daily exposure (g/d)	Calculated relative daily exposure (mg/kg bw/d)
<b>Bathing, showering</b>					
Shower gel	18.67 g	279.20	0.01	0.19	2.79
Hand wash soap <sup>2</sup>	20.00 g	-	0.01	0.20 <sup>3</sup>	3.33
<b>Hair care</b>					
Shampoo	10.46 g	-	0.01	0.11	1.51
Hair conditioner	-	-	-	0.04	0.60



B. Hall et al. / Food and Chemical Toxicology 49 (2011) 408–422

**Assessment is exposure-led and uses available habits and practices data**

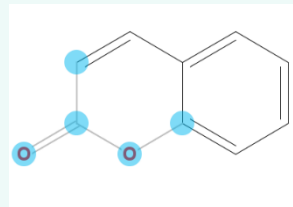


Parameter	Face cream
<b>Amount of product used per day (g/day) using 90th percentile</b>	1.54
<b>Frequency of use</b>	2 times/day
<b>Amount of product in contact with skin per occasion (mg)</b>	770
<b>Ingredient inclusion level</b>	0.1%
<b>Skin surface area (cm<sup>2</sup>)</b>	565
<b>Exposure duration per occasion</b>	12 hours
<b>Amount of ingredient in contact with skin per occasion (mg)</b>	0.77
<b>Local dermal exposure per occasion (µg/cm<sup>2</sup>)</b>	1.36
<b>Systemic exposure per day (mg/kg)</b>	<b>0.02</b>

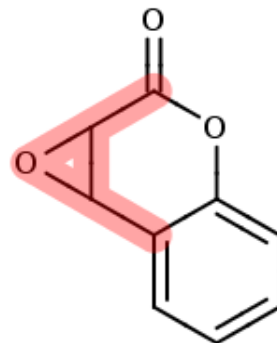




# NGRA for 0.1% coumarin in face cream: in silico predictions



Generation of hypothesis for potential Molecular Initiating events – **ToxTree, MIE ATLAS\*, OECD toolbox**



## Initial Hypothesis

- **Coumarin** might **bind to proteins- MIE for induction of skin sensitisation**
- **DNA binding alert + epoxide formation MIE for genotoxicity**
- **Reactive metabolites might be formed with alerts for both genotoxicity and skin sensitisation**
- **No binding alerts for the 39 targets in MIE atlas**

# NGRA for 0.1% coumarin in face cream: *in vitro* existing information

Identification of potential biological targets – **PubChem and ToxCast**



Only few active assays among multiple assays ( $\approx 5000$ )  
Coumarin inhibited both Monoamine oxidases and Carbonic anhydrases at concentrations between  $3 \mu\text{M}$ -  $40 \mu\text{M}$



The AC50 from dose-response curves was used as a PoD for MoS calculation

# NGRA for 0.1% coumarin in face cream: exposure estimation

## Exposure Estimation

- Total plasma Cmax values obtained from PBK model: 0.002  $\mu\text{M}$  (mean), 0.005  $\mu\text{M}$  (99<sup>th</sup> percentile)
- Stability assays indicated coumarin rapidly metabolized mainly via CYP2A6

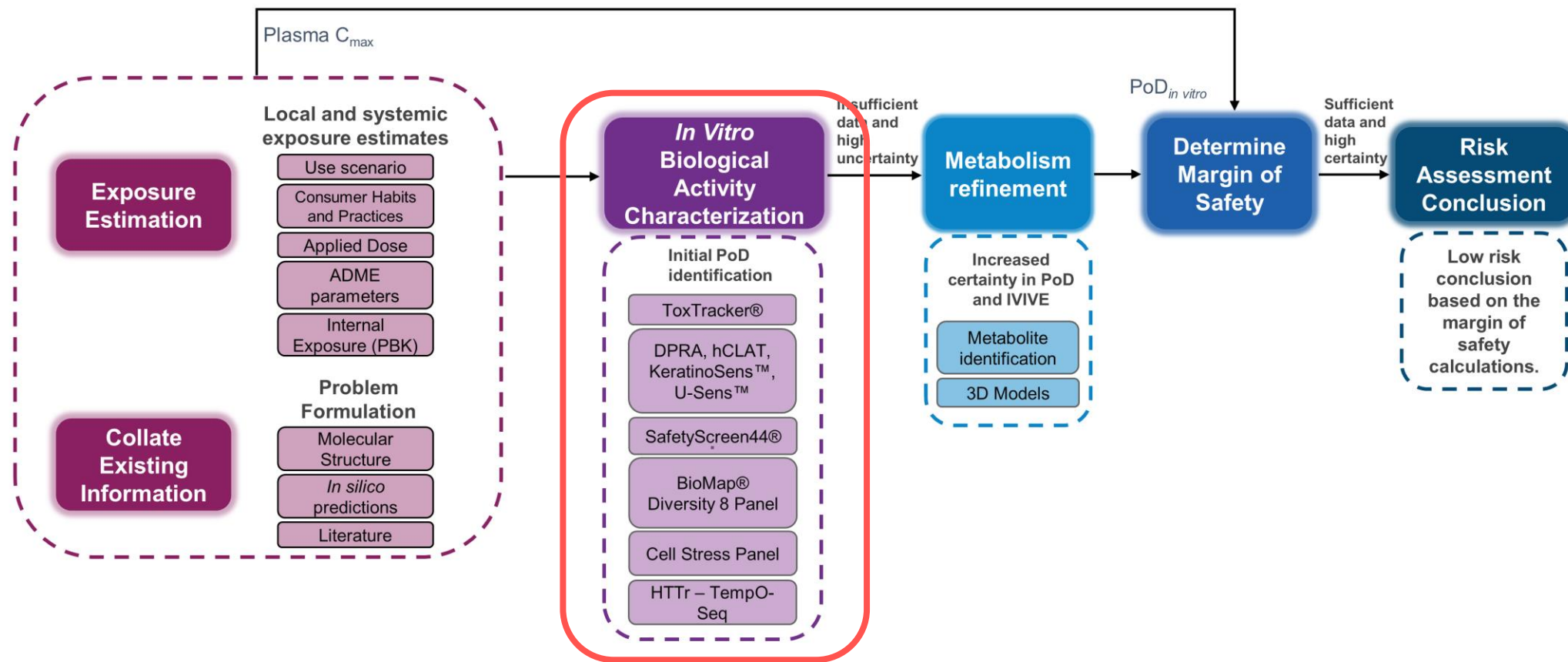
## Collate Existing Information

- Genotoxicity and skin sensitisation alerts for parent compound
- Hydroxylation predicted as main route of biotransformation
- Reactive metabolites (e.g. epoxides) predicted.
- Low bioactivity in ToxCast and Pubchem: binding to Carbonic Anhydrases and MAO-A/B reported
- Lowest PoD was 3  $\mu\text{M}$  for carbonic anhydrase I (Figure 7)

# *In vitro* biological activity characterisation



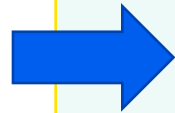
# Next-Generation Risk Assessment case study workflow for 0.1% coumarin in face cream



# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: Genotoxicity assessment: ToxTracker

## Initial hypothesis:

- DNA binding alerts for coumarin and metabolites



Standard ToxTracker assay +S9					
DNA damage		p53	Ox. stress		UPR
Bsc12	Rtkn	Btg2	Srxn1	Blvrb	Ddit3
Green	Orange	Orange	Red	Red	Green

Standard ToxTracker assay -S9					
DNA damage		p53	Ox. stress		UPR
Bsc12	Rtkn	Btg2	Srxn1	Blvrb	Ddit3
Green	Green	Green	Red	Green	Orange

Red: Positive (>2-fold induction)  
Orange: Weak activation (1.5 to 2-fold induction)  
Green: Negative (<1.5-fold induction)



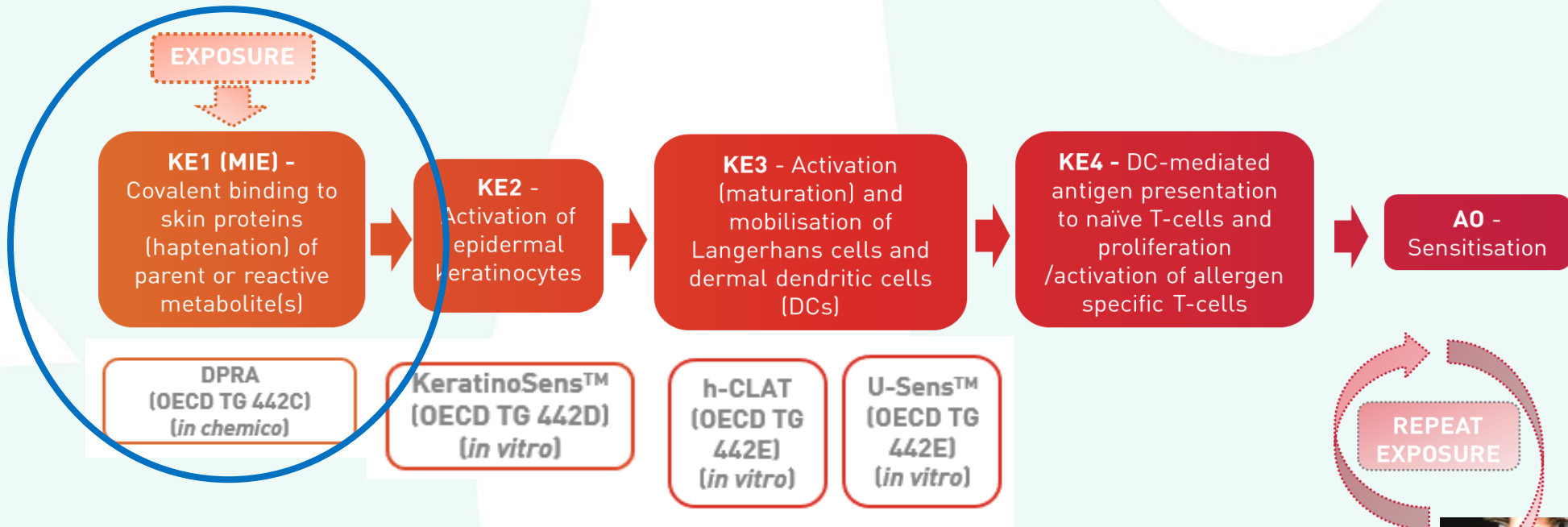
## Results:

- ToxTracker negative
- Reactive coumarin metabolite(s) could induce DNA lesions secondary to oxidative stress

# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: Skin sensitisation assessment

## Initial hypothesis:

- **Protein binding alerts for coumarin and metabolites**



OECD (2014), *The Adverse Outcome Pathway for Skin Sensitisation Initiated by Covalent Binding to Proteins*, OECD Series on Testing and Assessment, No. 168, OECD Publishing, Paris, <https://doi.org/10.1787/9789264221444-en>.

**Allergic contact dermatitis**



# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: Skin sensitisation assessment

## Step 1: Generation of in vitro results for Coumarin

Call	DPRA (TG442C)		KeratiNoSe ns (TG 442D)	h-CLAT (TG 442E)		U-SENS (TG 442E)
	-ve		+ve	+ve		+ve
Model Input	%cys depletion	%lys depletion	EC1.5 (µM)	CD54 (EC200 µg/mL)	CD86 (EC150 µg/mL)	CD86 (EC150 µg/mL)
RUNs	1.0 0.7 2.2	0 0 0	200 175 NA	>637 <178 <178	>637 >637 >637	95 96 NA



**Initial results:**

- Coumarin is a skin sensitiser
- Likely to be due to metabolites (-ve DPRA)



# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: Skin sensitisation assessment

## Step 2. Generation of PoD for risk assessment- Skin allergy risk assessment (SARA) Defined approach (DA)

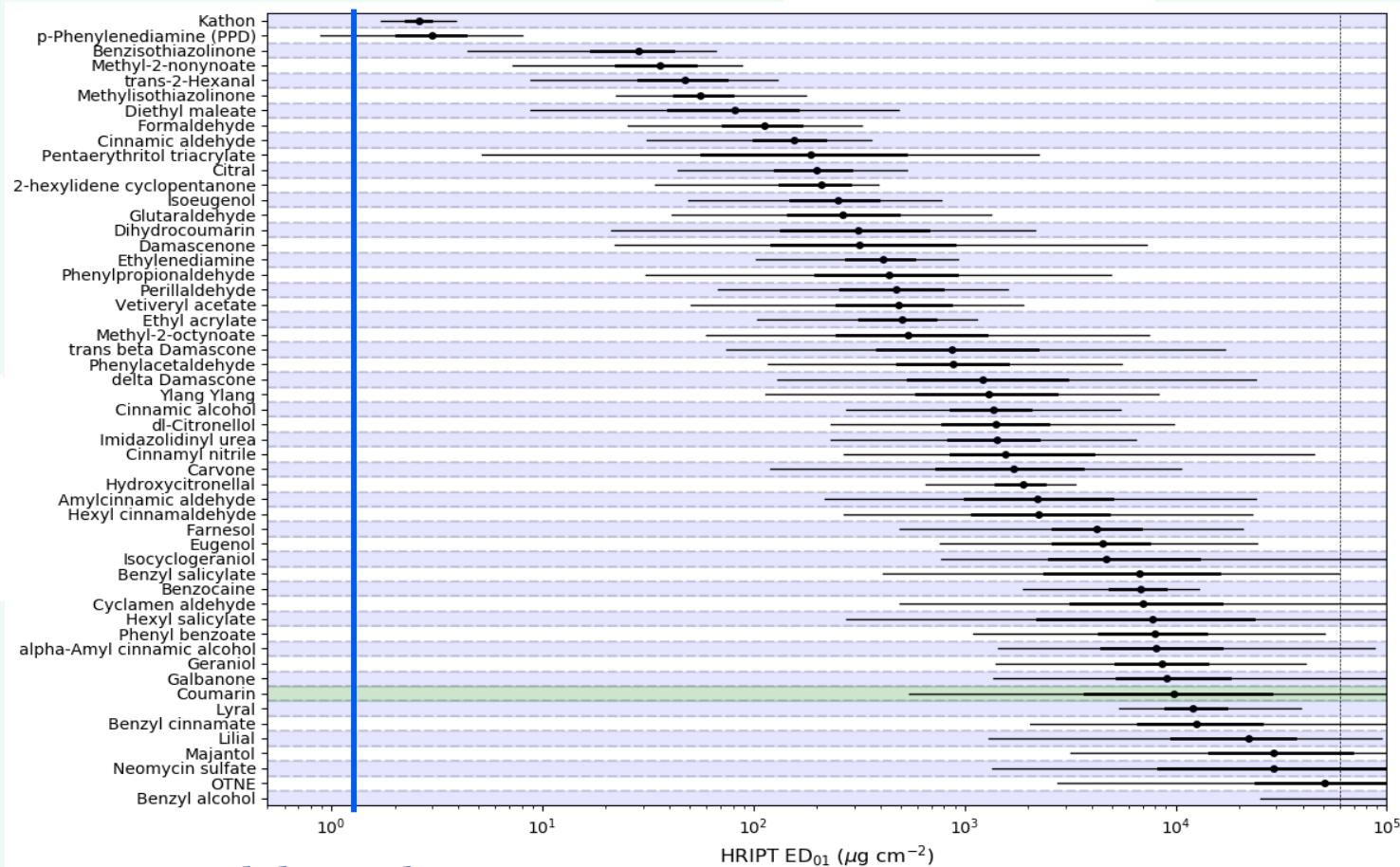
- The **SARA DA** is a Bayesian probabilistic model, which **estimates the human sensitiser potency via a prediction of a HRIPT 1% sensitising dose (ED<sub>01</sub>) (i.e PoD) for a selected chemical.**

### SARA Model Inputs

- ❖ Historical Local lymph node assay (LLNA)
- ❖ Historical Human repeated insult patch test (HRIPT)
- ❖ *In vitro* data: DPRA (TG442C), KeratinoSens (TG 442D), h-CLAT (TG 442E), U-SENS (TG 442E)
- ❖ First publication dataset of 30 chemicals – expanded to 53 core + 49 *in vitro* only

# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: Skin sensitisation assessment

## Step 2: PoD for risk assessment



Local dermal exposure  
(1.36 µg/cm²)

The PoD for coumarin has a central 95% credible interval ranging from 546 - 217,603 µg/cm²



- Results:**
- Exposure is much lower than the predicted PoD
  - MoS = 400 - 160 000
  - Low risk conclusion

# NGRA for 0.1% coumarin in face cream: Key results

## Exposure Estimation

- Total plasma Cmax values obtained from PBK model: 0.002  $\mu\text{M}$  (mean), 0.005  $\mu\text{M}$  (99th percentile)
- Stability assays indicated coumarin rapidly metabolized mainly via CYP2A6

## Collate Existing Information

- Genotoxicity and protein binding alerts for parent compound
- Hydroxylation predicted as main route of biotransformation
- Reactive metabolites (e.g. epoxides) predicted.
- Low bioactivity in ToxCast and Pubchem: binding to Carbonic Anhydrases and MAO-A/B reported
- Lowest PoD was 3  $\mu\text{M}$  for carbonic anhydrase I (Figure 7)

## *In Vitro* Biological Activity Characterisation

- ToxTracker negative; weak activation of DNA damage reporters (only +S9).
- Predicted MoS (400-160 000) suggests that the risk of inducing skin allergy is low at the consumer exposure

# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: *In vitro* binding and enzymatic assays: Eurofins SafetyScreen44

To investigate possible interactions between coumarin and the 44 key targets involved in drug attrition

## PERSPECTIVES

**A GUIDE TO DRUG DISCOVERY — OPINION**

### Reducing safety-related drug attrition: the use of *in vitro* pharmacological profiling

Joanne Bowes, Andrew J. Brown, Jacques Hamon, Wolfgang Jarolimek, Arun Sridhar, Gareth Waldron and Steven Whitebread

**Abstract** | *In vitro* pharmacological profiling is increasingly being used earlier in the drug discovery process to identify undesirable off-target activity profiles that could hinder or halt the development of candidate drugs or even lead to market withdrawal if discovered after a drug is approved. Here, for the first time, the rationale, strategies and methodologies for *in vitro* pharmacological profiling at four major pharmaceutical companies (AstraZeneca, GlaxoSmithKline, Novartis and Pfizer) are presented and illustrated with examples of their impact on the drug discovery process. We hope that this will enable other companies and academic institutions to benefit from this knowledge and consider joining us in our collaborative knowledge sharing.

Decreasing the high attrition rate in the drug discovery and development process is a primary goal of the pharmaceutical industry. One of the main challenges in achieving this goal is striking an appropriate balance between drug efficacy and potential adverse effects<sup>1</sup> as early as possible in order to reduce safety-related attrition, particularly in the more expensive late stages of clinical development. Gaining a better understanding of the safety profile of drug candidates early in the process is also crucial for reducing the likelihood of safety issues limiting the use of approved drugs, or even leading to their market withdrawal, bearing in mind the growing societal and regulatory emphasis

target (or targets), whereas secondary effects are due to interactions with targets other than the primary target (or targets) (that is, off-target interactions). Off-target interactions are often the cause of ADRs in animal models or clinical studies, and so careful characterization and identification of secondary pharmacology profiles of drug candidates early in the drug discovery process might help to reduce the incidence of type A ADRs.

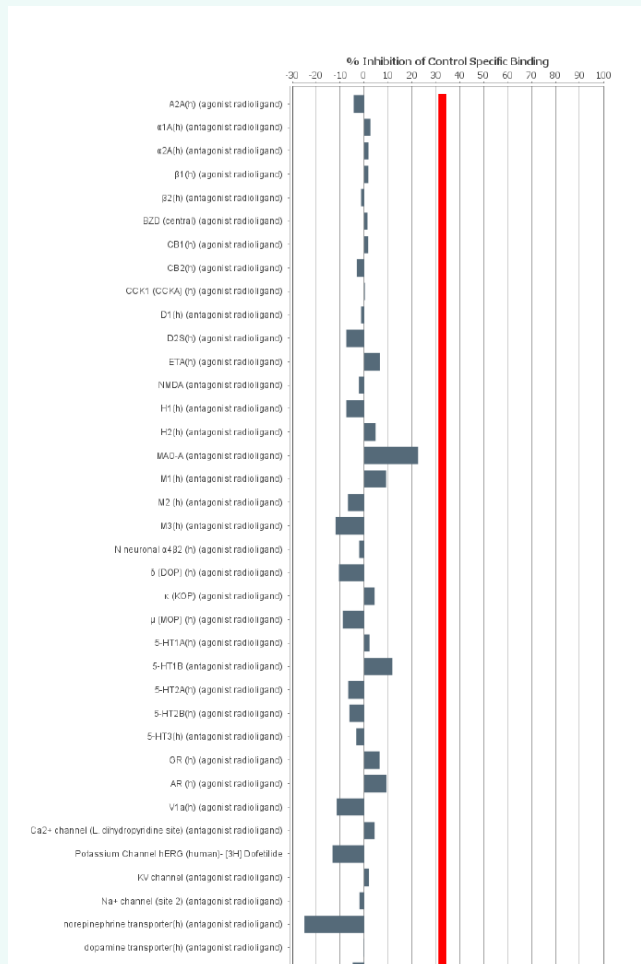
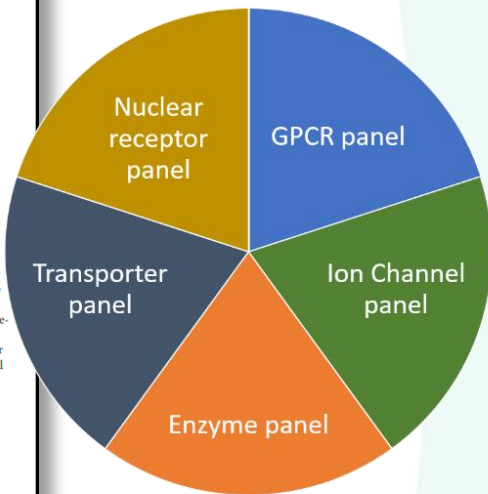
*In vitro* pharmacological profiling involves the screening of compounds against a broad range of targets (receptors, ion channels, enzymes and transporters) that are distinct from the intended

safety testing of drug candidates and are designed to prevent serious ADRs from occurring in clinical studies.

The only *in vitro* pharmacology assay that is absolutely required by regulatory authorities is one that measures the effects of new chemical entities on the ionic current of native ( $I_{h}$ ) or heterologously expressed human voltage-gated potassium channel subfamily H member 2 (KCNH2; also known as hERG)<sup>2</sup>. The mechanism by which blockade of hERG can elicit potentially fatal cardiac arrhythmias (torsades de pointes) following a prolongation of the QT interval is well characterized<sup>3</sup>, and the seriousness of this ADR is one reason why this assay is a mandatory regulatory requirement. Receptor binding studies are also recommended as the first-tier approach for the assessment of the dependence potential of novel chemical entities<sup>4</sup>.

However, current regulatory guidance does not describe which targets should constitute an *in vitro* pharmacological profiling panel and does not indicate the stage of the discovery process at which *in vitro* pharmacological profiling should occur. Nevertheless, the general trend for most pharmaceutical companies is to perform this testing early in drug discovery to reduce attrition and to facilitate better prediction of ADRs in the later stages of drug discovery and development.

Here, for the first time, four major pharmaceutical companies (AstraZeneca, GlaxoSmithKline, Novartis and Pfizer) share their knowledge and experiences of the innovative application of existing screening technologies to detect off-target interactions of compounds. The objective of this article is to describe the rationale and main advantages for the use of *in vitro* pharmacological profiling, to discuss best practices and to



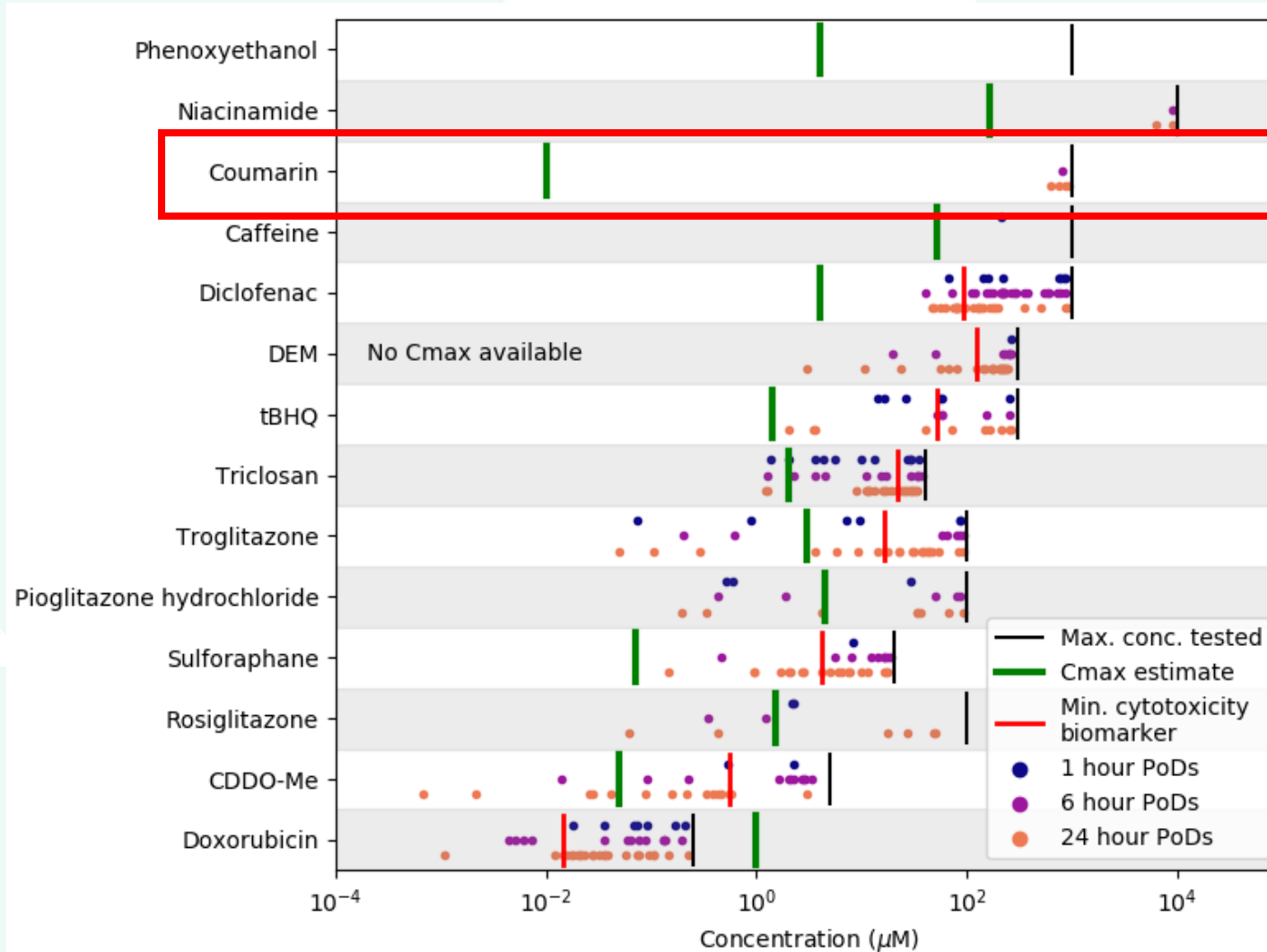
**Results:**  
All binding and enzymatic assay results were negative at 10 μM







# NGRA for 0.1% coumarin in face cream: In vitro biological activity characterisation: *In vitro* cell stress panel



## Results:

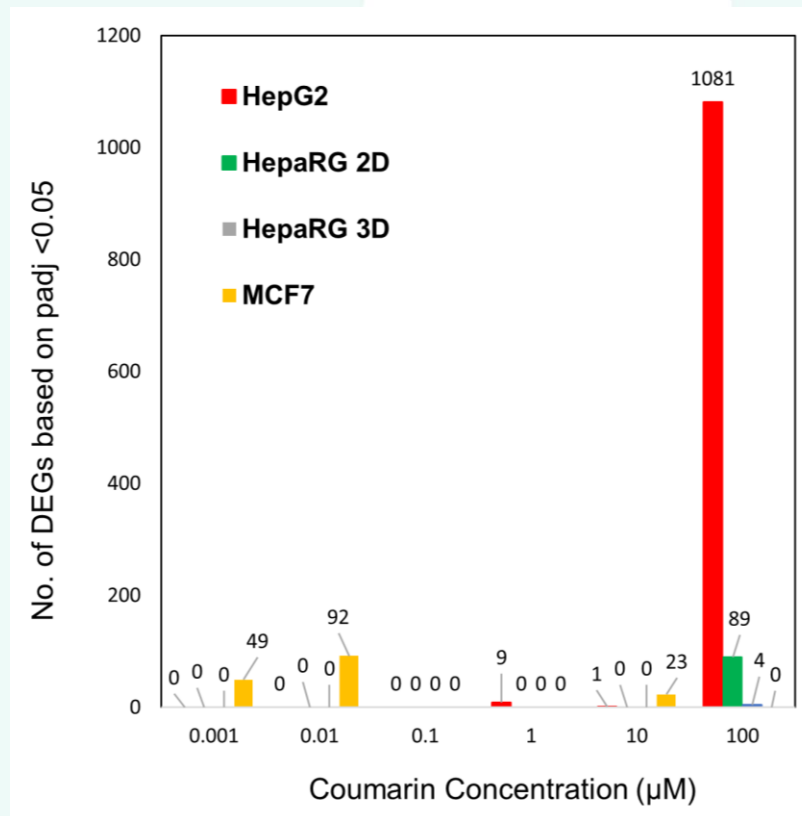
Coumarin not very active in comparison to known “high risk compounds” like doxorubicin

- PoDs shown for HepG2 only

# NGRA for 0.1% coumarin in face cream: *In vitro* biological activity characterisation: High-Throughput Transcriptomics (HTTr) using TempO-SEQ technology

Transcriptomics was applied as a broad non-targeted biological screen

## Differential expression analysis using DESeq2 analysis



## Results:

Across the cell lines, treatment with coumarin resulted in limited gene-expression changes at concentrations below 100 µM, suggesting limited cellular effects at lower concentrations

# NGRA for 0.1% coumarin in face cream: Key results

## Exposure Estimation

- Total plasma C<sub>max</sub> values obtained from PBK model: 0.002 µM (mean), 0.005 µM (99th percentile)
- Stability assays indicated coumarin rapidly metabolized mainly via CYP2A6

## Collate Existing Information

- Genotoxicity and protein binding alerts for parent compound
- Hydroxylation predicted as main route of biotransformation
- Reactive metabolites (e.g. epoxides) predicted.
- Low bioactivity in ToxCast and Pubchem: binding to Carbonic Anhydrases and MAO-A/B reported
- Lowest PoD was 3 µM for carbonic anhydrase I (Figure 7)

## *In Vitro* Biological Activity Characterisation

- ToxTracker negative; weak activation of DNA damage reporters (only +S9)
- The probability of coumarin inducing skin sensitisation at the consumer exposure is low
- No immunomodulation potential
- Low bioactivity confirmed by binding/enzymatic assays, HTR and cell stress panel.
- PoD range: 6-912 µM

# NGRA for 0.1% coumarin in face cream: Preliminary Margin of Safety

Technology	Cell line/ Enzyme/Biomarker	Face cream Min. 5th percentile MoS	PoD provided as distribution?
Cell stress panel	HepG2 (ATP, 24h)	96738	Yes
Cell stress panel	NHEK (OCR 1h)	1330	Yes
HTTr	HepG2 (24h)	7223	No
HTTr	HepaRG (24h)	8864	No
Toxcast	MAO B (rat brain)	3711	No
PubChem	Carbonic Anhydrase Type I	<b>706</b>	No
PubChem	Carbonic Anhydrase Type II	2140	No
PubChem	Carbonic Anhydrase Type VI	14652	No

Based on total concentrations for both  $C_{max}$  and PoDs

- **The lowest MoS across all assays was derived using the PoD (represented by  $K_i$ ) for the inhibition of carbonic anhydrase I**
- **All PoD are higher than predicted exposure**

# NGRA for 0.1% coumarin in face cream: Key results

## Exposure Estimation

- Total plasma Cmax values obtained from PBK model: 0.002 µM (mean), 0.005 µM (99th percentile)
- Stability assays indicated coumarin rapidly metabolized mainly via CYP2A6

## Collate Existing Information

- Genotoxicity and protein binding alerts for parent compound
- Hydroxylation predicted as main route of biotransformation
- Reactive metabolites (e.g. epoxides) predicted.
- 90-100% coumarin predicted to be freely available *in vitro*
- Low bioactivity in ToxCast and Pubchem: binding to Carbonic Anhydrases and MAO-A/B reported
- Lowest PoD was 3 µM for carbonic anhydrase I (Figure 7)

## In Vitro Biological Activity Characterisation

- ToxTracker negative; weak activation of DNA damage reporters (only +S9)
- The probability of coumarin inducing skin sensitisation at the consumer exposure is low
- No immunomodulation potential
- Low bioactivity confirmed by binding/enzymatic assays, HTTr and cell stress panel.
- PoD range: 6-912 µM
- **Potential metabolite-driven bioactivity not addressed**

## Determine Margin of Safety

## Preliminary MoS

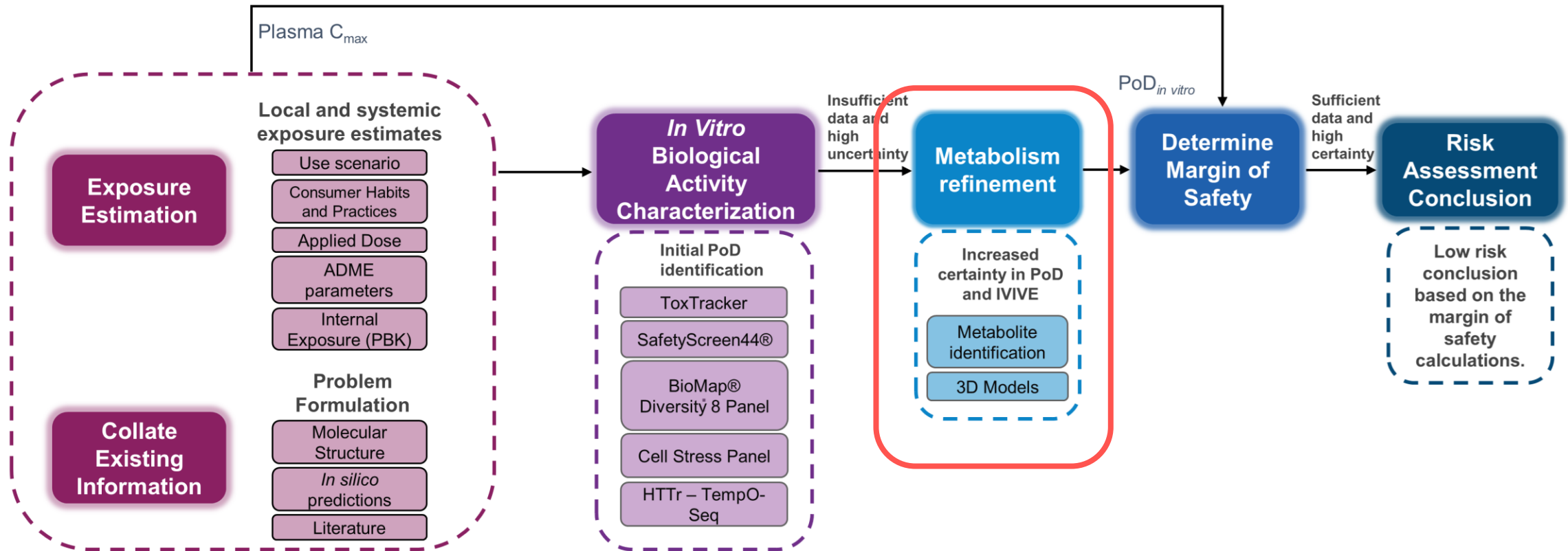
**706 - 96738**



## NGRA for 0.1% coumarin in face cream: Next steps for refinement

- 1. Coumarin metabolism in primary human hepatocytes- investigation of metabolites formed in human *in vitro* liver models**
- 2. Short and long-term exposure in 3D tissues- longer exposure durations in a 3D HepaRG model with potentially higher metabolic capacity and in vivo-like physiology than HepG2 cells**

# Next-Generation Risk Assessment case study workflow for 0.1% coumarin in face cream

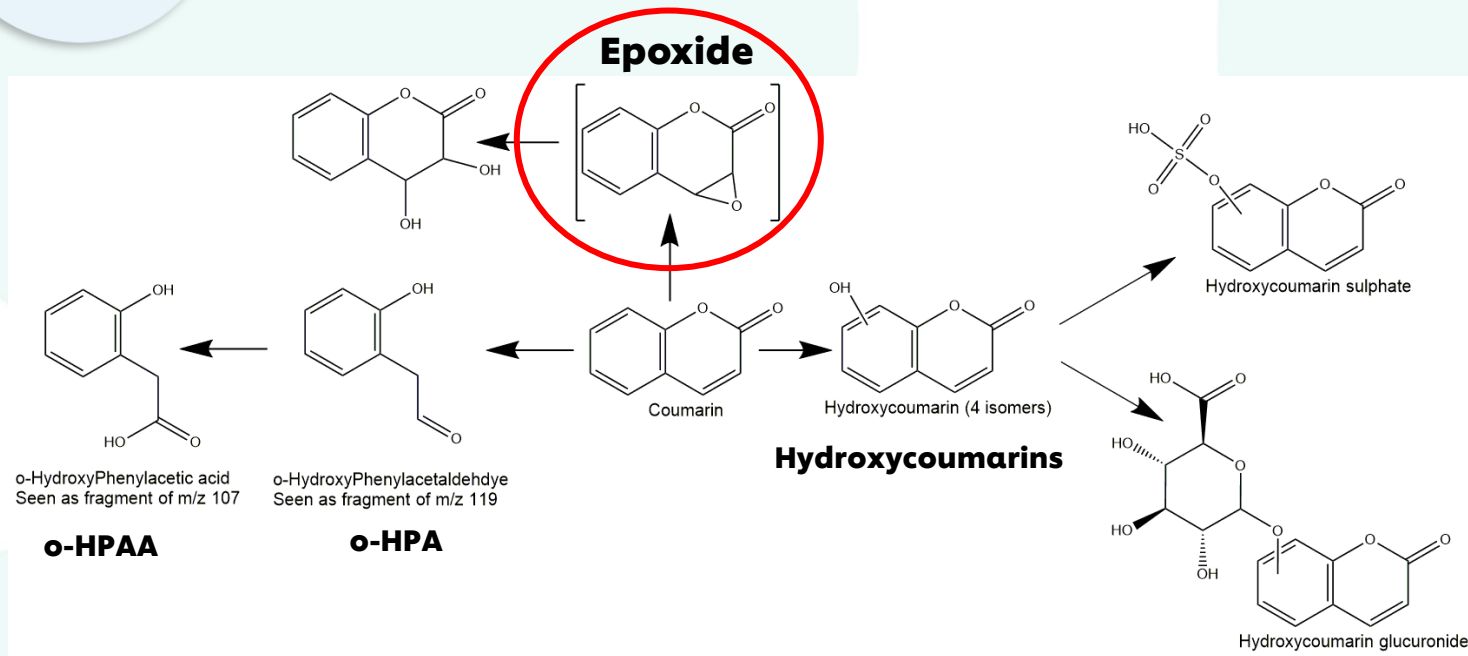


# NGRA for 0.1% coumarin in face cream: Coumarin metabolism in primary human hepatocytes



Human *In vitro* metabolism

Metabolism study to investigate if reactive metabolites are likely to be formed at consumer relevant concentrations



Coumarin's proposed metabolic pathway based on the *in vitro* experiments.

Results:

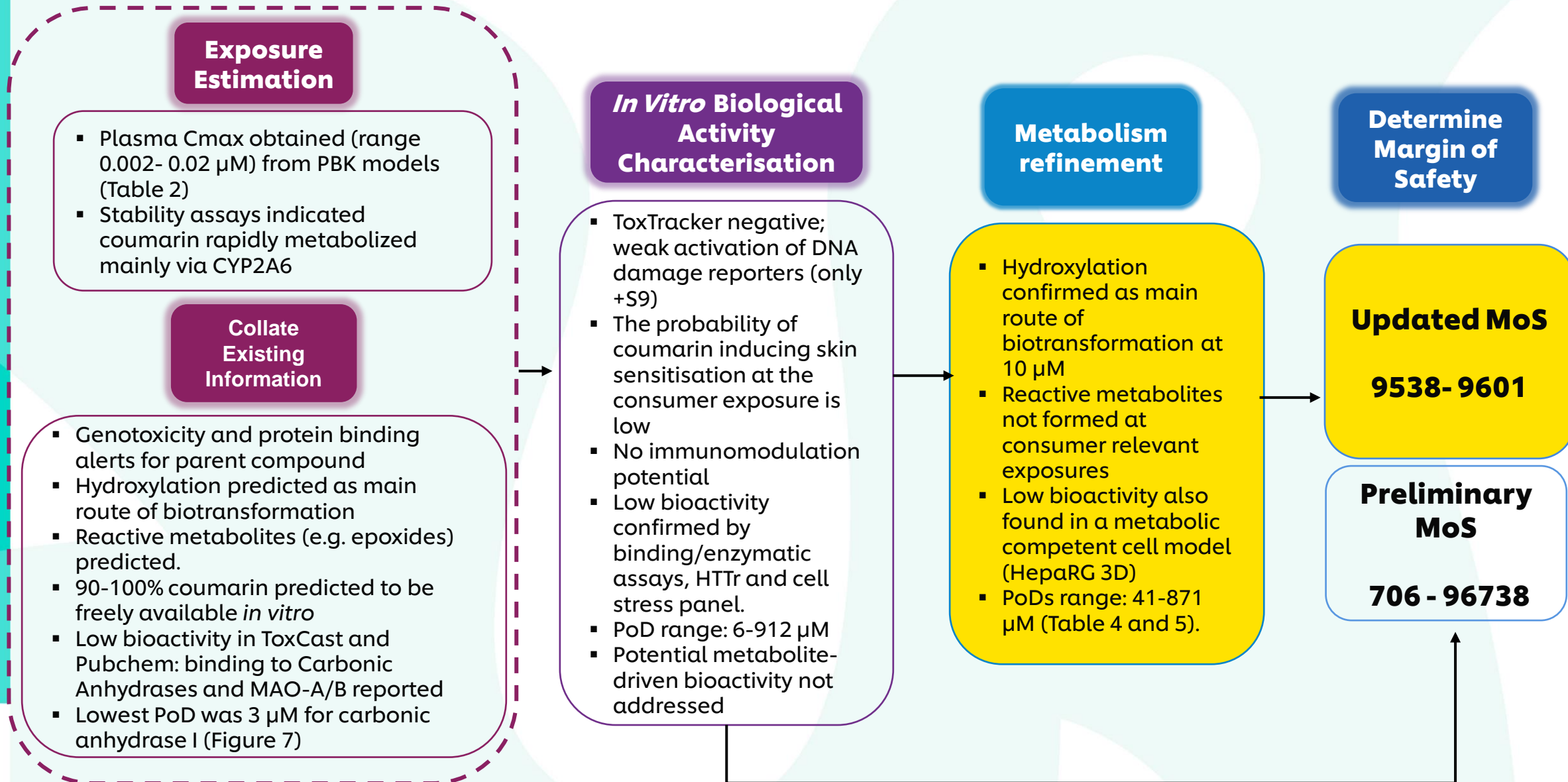
- **Coumarin is preferentially detoxified** to hydroxycoumarins and respective glucuronides
- **Reactive metabolites** such as the epoxide, o-HPAA and o-HPA **were only detected at the highest concentration (1mM)**
- **Not expected to be formed in vivo** for our consumer exposure scenario

# NGRA for 0.1% coumarin in face cream: Short and long-term exposure in 3D tissues

To increase our confidence in the initial PoDs from the 2D cell models

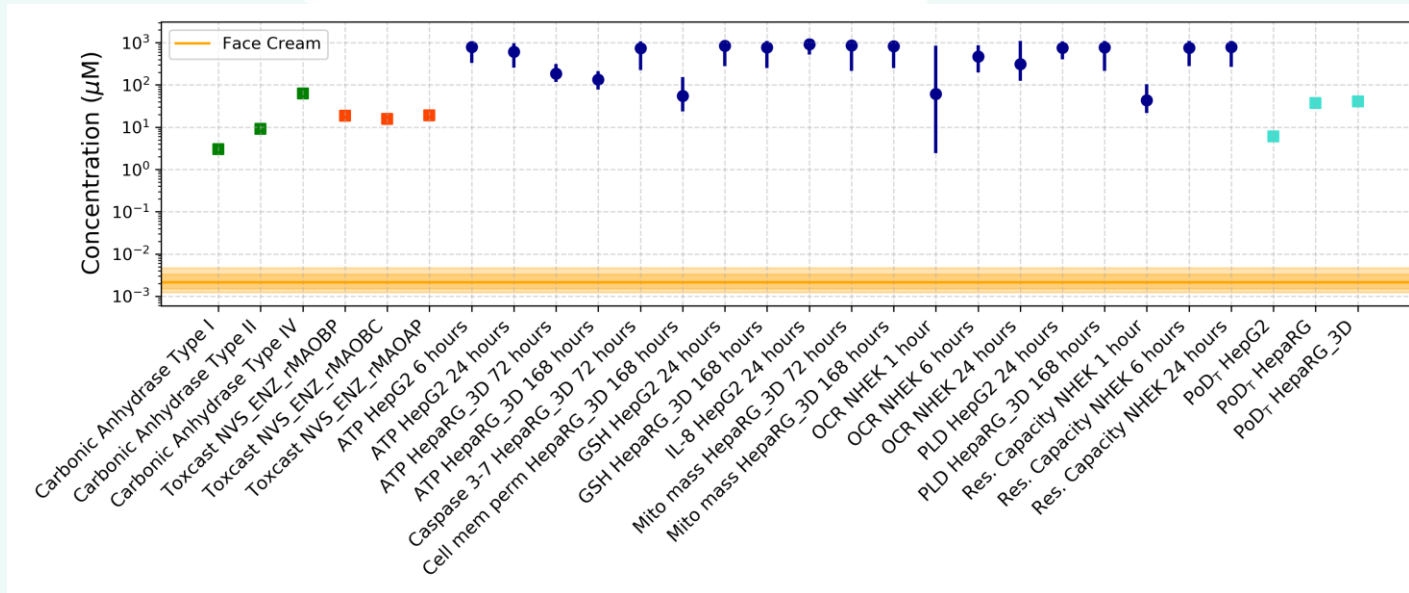
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PubChem	Carbonic Anhydrase Type I	<b>706</b>	No
PubChem	Carbonic Anhydrase Type II	2140	No
PubChem	Carbonic Anhydrase Type VI	14652	No
Cell stress panel	HepaRG_3D (cell mem perm 168h)	9601	Yes
HTTr	HepaRG_3D_24h	9538	No

# NGRA for 0.1% coumarin in face cream: Key results





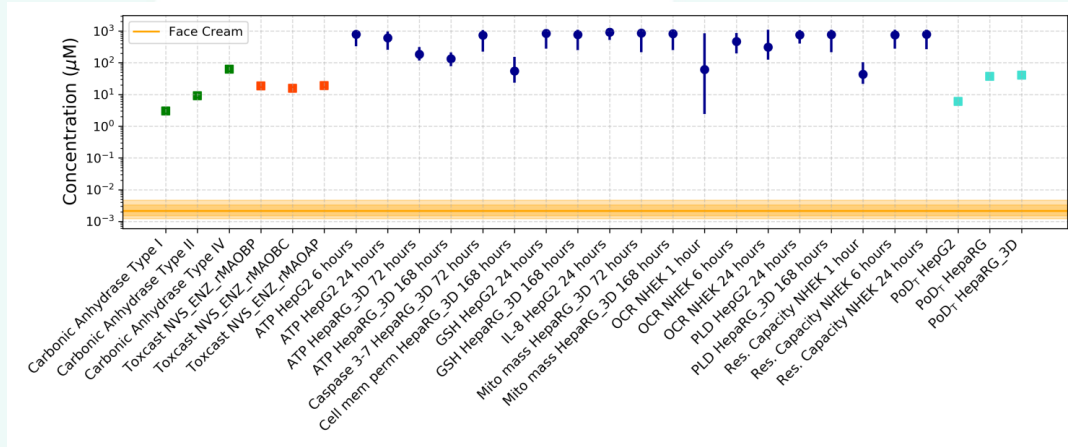
# NGRA for 0.1% coumarin in face cream: Risk assessment conclusion



- The predicted  $C_{\max}$  values for face cream were lower than all PoDs with a MoS (the 5<sup>th</sup> percentile) higher than 100
- Coumarin is not genotoxic, does not cause skin sensitisation, does not bind to any of the 44 targets and does not show any immunomodulatory effects at consumer relevant exposures
- **Weight of evidence suggests that the inclusion of 0.1% coumarin in face cream is safe for the consumer**

# Concluding remarks

- **NGRA is a framework of non-standard, bespoke data-generation, driven by the risk assessment questions**
  - Exposure led
  - Human relevant
  - *in silico*
  - *in vitro*
  - weight of evidence
- **Margin of safety is determined by the ratio of human exposure to the point of departure for the most sensitive assay**
- **NGRA tools are available now and research into more approaches continues**



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Andy White



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# For more information on Unilever's ongoing research to develop non-animal approaches to safety assessment visit [www.tt21c.org](http://www.tt21c.org)



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