

Bridging the Science to Regulation Gap An Industry Perspective

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Bridging the Science to Regulation Gap: Industry Perspective

I. Safety Science

- a) 1980-2000: Alternatives to Animal Testing
- b) 2000-2020: Safety without Animal Testing
- c) 2020-2025: Science to Regulation Gap

2. Regulatory Science

- a) Consumer Safety: BP4 ab initio case study
- **b) Occupational Safety**: SI case study
- c) Environmental Safety: EPAA community

3. Next steps

- a) Harness AI: democratise NGRA & build capacity
- b) Collaborate: Safe Spaces & Regulatory Sandboxes
- c) Integrate: Human & Environmental Safety





Unilever Policy & Approach Safe & Sustainable Products without Animal Testing

What we believe

- Every Unilever product must be safe for people and our environment
- Animal testing is not needed to assess ingredient & product safety – there are a wide range of non-animal alternatives grounded in modern science and new technology

How we do it



40+ years of non-animal safety science



70+ collaborations



600+ publications













1980s - 2000s: Alternatives to Animal Testing

Unilever

- Early 1980s Ex vivo ocular methods (IRE): Unilever scientists helped originate and apply the *Isolated Rabbit Eye* (IRE) test for severe eye irritants
- 1990 Cell-based in vitro screening: Unilever reported an agarose overlay cytotoxicity assay to rank ocular irritation of detergent products, offering a rapid in vitro alternative to new Draize testing.
- 1990 Ex vivo HET-CAM work: Unilever researchers evaluated the HET-CAM test as a predictor of eye irritation, building the ex vivo toolbox.
- 1994–1997 QSAR and early computational toxicology (ocular & skin): Unilever authors published some of the earliest QSARs linking eye-irritation potency to structure/physicochemical descriptors; similar work tied in vitro cytotoxicity to skin corrosivity prediction.
- 1999 Early machine learning: A Bayesian neural network (BNN) model by Unilever scientists predicted eye-irritancy of cationic surfactants—an early application of ML/NAMs in this space

Our approach



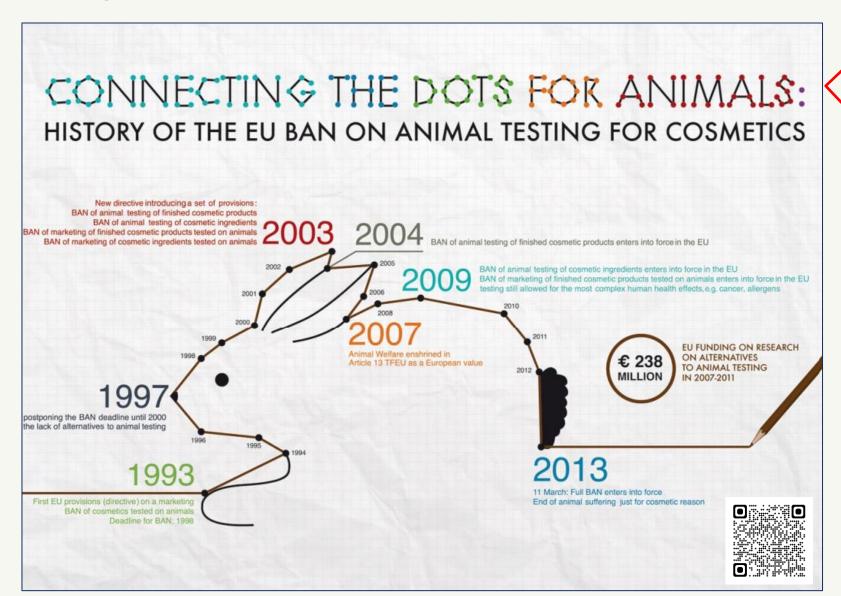
We use a wide range of non-animal approaches to assess the safety of the ingredients used in our products. Since the 1980s, our scientists have been developing and using alternatives to animal tests, e.g. computer modelling and cell culture-based experiments. We regularly present and publish our work, and collaborate with others to share our knowledge and apply exciting new science to assure product safety.



Watch: Making sure our products are safe without testing on animals



European 7th Amendment to Cosmetics Directive and resulting Animal Unilever Testing Bans drove scientific investment & replacement mindset



7th Amendment to **European Cosmetics Directive**

EN

Official Journal of the European Unio

11.3.2003

DIRECTIVE 2003/15/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

of 27 February 2003

amending Council Directive 76/768/EEC on the approximation of the laws of the Member States relating to cosmetic products

(Text with EEA relevance

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE

Having regard to the Treaty establishing the European Community, and in particular Article 95 thereof,

Having regard to the proposal from the Commission (1),

Having regard to the opinion of the European Economic and

Acting in accordance with the procedure laid down in Article 251 of the Treaty in the light of the joint text approved by the Conciliation Committee on 3 December 2002 (5)

- Council Directive 76/768/EEC (*) has comprehensively harmonised the national laws relating to cosmetic products and has as its main objective the protection of public health. To this end, it continues to be indispenable to carry out certain toxicological tests to evaluate the safety of cosmetic products.
- The Protocol on protection and welfare of animals annexed by the Treaty of Amsterdam to the Treaty stablishing the European Community provides that the Community and the Member States are to pay full regard to the welfare requirements of animals in the implementation of Community policies, in particular with regard to the internal market.
- Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administra-tive provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes (*) has established common rules for the use of animals for experimental purposes within the Community and laid down the conditions under which such experiments must be carried out in the territory of the Member States. In particular, Article 7 of that Direcalternative methods, when such methods exist and are

(1) OJ C 311 E, 31.10.2000, p. 134 and OJ C 51 E, 26.2.2002, p. 385.

- 7) OJ C 311 E, 33-10.2000, p. 134 and OJ C 31 E, 26.2.2002, p. 385.
 7) OJ C 367, 2012,2000, p. 1.
 7) Opinion of the European Parliament of 3 April 2001 (OJ C 21 E, 24.1.2002, p. 26), Columb Common Position of 14 February 2002 (OJ C 113 E, 14.5.2002, p. 109) and Decision of the European Parliament of 11 June 2002 (not yet published in the Official Parliament of 11 June 2002 (not yet published in the Official Parliament of 11 June 2002 (not yet published in the Official Parliament of 11 June 2002 (not yet published in the Official Parliament of 11 June 2002 (not yet published in the Official Parliament of 11 June 2002) Journal). Decision of the European Parliament of 15 January 2003 and Decision of the Council of 27 February 2003.
- OJ I. 262, 27.7.1976, p. 169. Directive as last amended by Commission Directive 2002/34/EC (OJ L. 102, 18.4.2002, p. 19).
 OJ I. 358, 18.12.1986, p. 1.

scientifically satisfactory. In order to facilitate the devel opment and use of alternative methods in the cosmetic sector which do not use live animals, specific provisions have been introduced by Council Directive 93/35/EEC of 14 June 1993 amending for the sixth time Directive 76/ 768/EEC on the approximation of the laws of the Member States relating to cosmetic products (*).

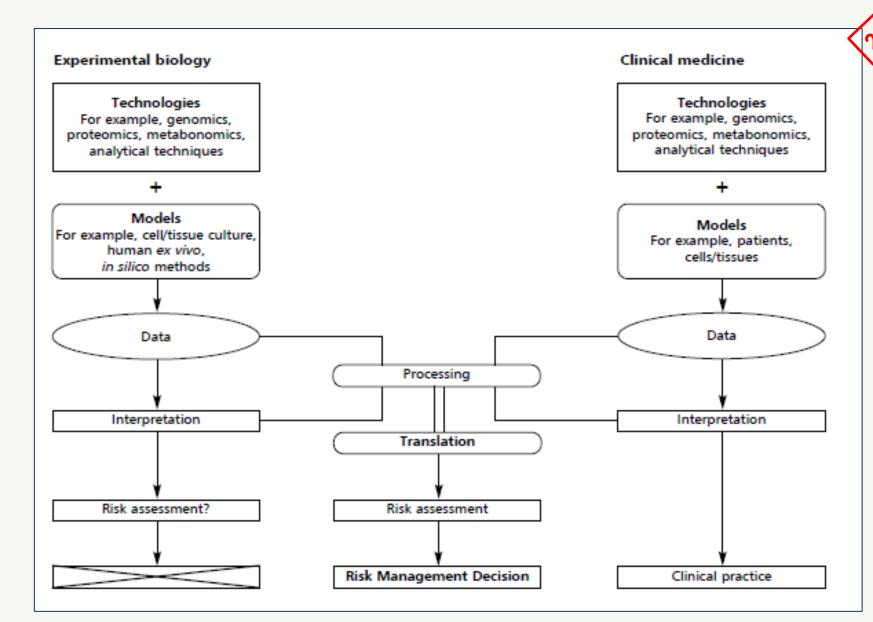
However these provisions concern only alternative methods which do not use animals and they do not take account of alternative methods developed in order to reduce the number of animals used for experiments or to reduce their suffering. Therefore, in order to afford optimal protection to animals used for testing cosmetic products pending implementation of the prohibition of animal tests for cosmetic products and the marketing of animal-tested cosmetic products in the Community these provisions should be amended in order to provide for the systematic use of alternative methods, which reduce the number of animals used or reduce the suffering caused, in those cases where full replacement alternatives are not yet available, as provided by Article 7(2) and (3) of Directive 86/609/EEC, when these methods offer consumers a level of protection equivalent to that of the conventional methods which they are intended to replace.

- In accordance with Directive 86/609/EEC and with Directive 93/35/EEC it is essential that the aim of abolishing animal experiments for testing cosmetic products e pursued and that the prohibition of such experiments becomes effective in the territory of the Member States In order to ensure that this prohibition is fully implemented, it may be necessary for the Commission to bring forward further proposals to amend Directive 86/
- Currently, only alternative methods which are scientifically validated by the European Centre for the Validation of Alternative Methods (ECVAM) or the Organisation for Economic Cooperation and Development (OECD) and applicable to the whole chemical sector are systematiof cosmetic products and their ingredients may be ensured through the use of alternative methods which are not necessarily applicable to all uses of chemical ingredients. Therefore, the use of such methods by the whole cosmetic industry should be promoted and their adoption at Community level ensured, when such methods offer an equivalent level of protection to consu-

(9) OJ I. 151, 23.6.1993, p. 32.

Safety without Animal Testing: re-framing the question to focus on the protection goal (i.e. what are you trying to prevent?)





ATLA 32, 617-628, 2004 617

Comment

The Feasibility of Replacing Animal Testing for Assessing Consumer Safety: A Suggested Future Direction

Julia Fentem, Mark Chamberlain and Bart Sangster

Safety & Environmental Assurance Centre, Unilever Colworth Laboratory, Sharnbrook, Bedfordshire, UK

Summary — At present, we are unable to use much of the data derived from alternative (non-minell letter for human health risk assessment. This bird Commerce routines why it is plausible that new paradigms could be developed to enable in the assessment to support consumer safety decisions, without the need to generate data in animal tests. The evailability of technologies that did not exist 10 years ago makes this new approach possible. The approach is based on the concept that data and information derived from applying existing and new technologies to non-animal models can be interpreted in terms of harm and disease in man. A preceduate is that similar data and information generated in a clinical setting are evailable to permit this "translation". The incorporation of this deditional translation step should make it possible to use data information generated in non-animal models as inputs to risk assessment. The new technologies include reables large amounts of data to be generated very quickly. The processing, interpretation and translation of these data need to be supported by powerful informatics capabilities and statistical tools. The use of interpretation by providing better understanding of the underlying biological complexity and mechanisms of toxicity. Clinical medicine is using the comportunities of refered by the new "omics" technologies to advance the understanding petites understanding of these details of these decisions of these technologies in clinical medicine will generate massive amount of data that will need processing and interpretation to provide positions of these decisions of these decisions of decisions the patients of the province of the patients of the propertical programment of manifest and the patients information can be made generally accessible in an ethical and legal way, they should also permit the "translation" of experimental non-animal data, so that they can then be used in risk assessment.

Key words: alternatives, animal testing, clinical data, consumer safety, cosmetic testing, genomics, in vitro, proteomics, risk assessment, Three Rs.

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E-mail: Julie Fentem@lunilever.com

Introduction

Products that are sold to consumers must be safe for them to use. Most countries have laws that confirm this primiple and rightfully hold the manufacturer or the retailer responsible. In some cases, there are more-elaborate regulatory systems to ensure assety. These often include the need for premarket regulatory approval, such as for medicines, pesticides and functional foods.

Innovation in terms of consumer products may involve the introduction of new active ingredients, or the delivery of products in new ways, which could also include peakaging. The safety of all of these new materials, or new product formats, needs to be assessed. In many cases, smind data are an integral part of the safety evaluation process.

Animal testing is a subject that often generates

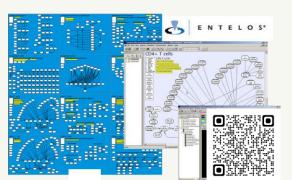
heated debate, since there are many different views

in society as to whether or not animal testing can be morally justified. Governments, academic institutions, non-governmental organisations (NGOs), trade associations and individual companies have positions and policies explaining their views and practices regarding the use of animals for testing.

Recently, the European Union (EU) introduced the Seventh Amendment to the Commetion Directive (1), which includes a ban on the marketing in the EU of commetion with ingredients that have been tested on animals, anywhere in the world, as of 2009 (for most teste) or 2013 for all tests). Commetice in this legislative content include soaps, shampoon, deedorants, antiperspirants and toothpastes, i.e. products that play an important role in personal hygiene and destination that the state of the description of the description of the description of the description of the second to new commetic where innovation involves

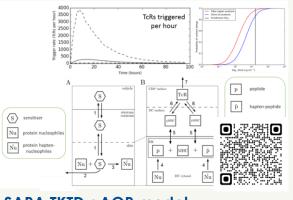
Fentem 2004. ATLA. 32. 617-623

Case Study: Skin Sensitization



Entelos model

Maxwell G. & MacKay C. 2008,



SARA TKTD qAOP model

Mackay et al. 2013

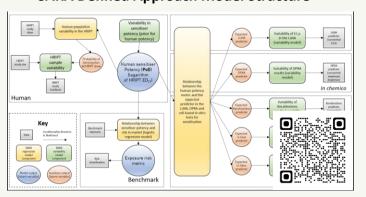


T cell Forum

Kimber et al. 2012



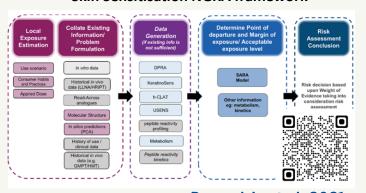
SARA Defined Approach Model Structure



Reynolds et al. 2019

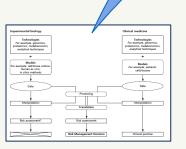
Unilever

Skin Sensitisation NGRA framework



Reynolds et al. 2021

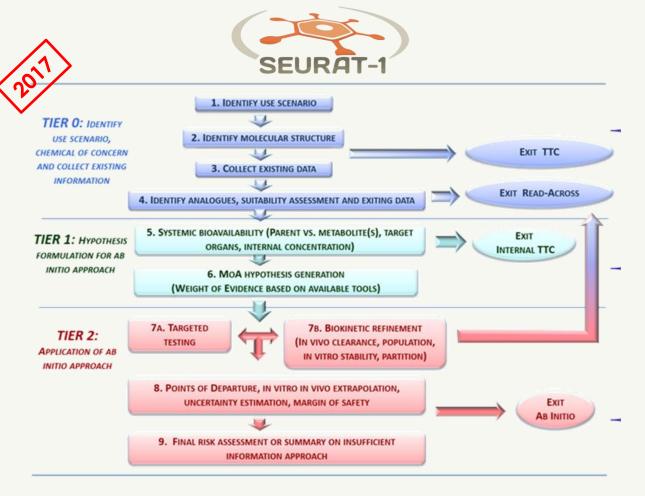
Integration of non-animal data <u>Jowsey et al. 2006</u>

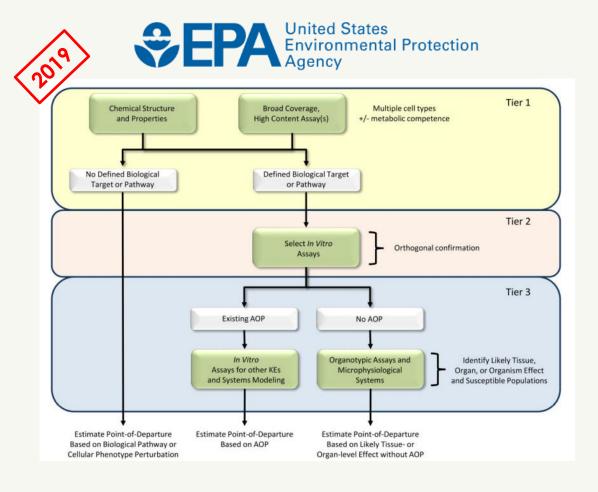




Next Generation Risk Assessment Conceptual Frameworks







Ab initio chemical safety assessment: A workflow based on exposure considerations and non-animal methods



https://doi.org/10.1016/j.comtox.2017.10.001

The Next Generation Blueprint of Computational Toxicology at the U.S. Environmental Protection Agency

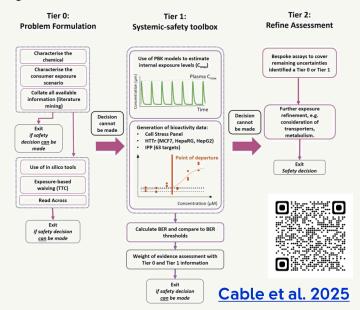


https://pubmed.ncbi.nlm.nih.gov/30835285/

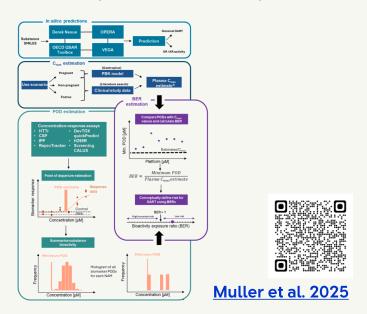
Unilever Next Gen Risk Assessment frameworks

Unilever

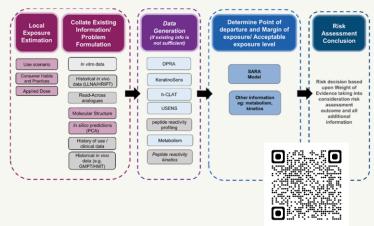
Systemic



Developmental & Reproductive

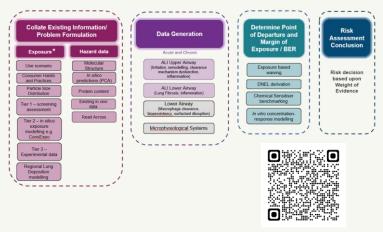


Skin Sensitization



Reynolds et al. 2021

Inhalation



de Ávila et al. 2025

Ongoing Partnerships









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EU REACH & CPR interface: 'Animal Testing as a Last Resort', Save Cruelty Free Unilever Cosmetics & Commission Roadmap towards phasing out animal testing

Continuing Animal Tests on Cosmetic Ingredients for REACH in the EU

Jean Knight¹, Costanza Rovida², Reinhard Kreiling³, Cathy Zhu⁴, Mette Knudsen⁴ and Thomas Hartung^{2,2} White Rabbit Beauty LLC, Half Moon Bay, CA, USA; ²Center for Alternatives to Animal Testing Europe (CAAT-Europe), University of Konstanz Konstanz, Germany; ³Clariant Produkte (Deutschland) GmbH. Sulabach, Germany; ⁴Knudsen & CRC, Shanghai, China; ⁵Center for Alternatives to Anima Testing (CAAT), Johns Hopkins University, Bloomberg School of Public Health, Baltimore, MD, USA

EU cosmetic ingredients are governed by two regulations that conflict. Regulation EC 1223/2009, the Cosmetic Regulation, bans In vivo (animal) testing for cosmetic product safety assessments, including both final products and ingredients. At the same time, the Registration, Evaluation, Authorization and Restriction of Chemicals (REACH) regulation can impose in vivo testing of those same ingredients under its chemical testing requirements. Here, we examined REACH ers for chemicals for which the only reported use is cosmetics to determine the extent of new *tn* vtvo testing caused by REACH. We found the REACH database has 3,206 chemical dossiers with cosmetics as a reported use. Of these, 419 report cosmetics as the only use, and 63 of these have in vivo tests completed after the Cosmetic Regulation ban on in vivo testing. Registrants largely used alternative, non-animal methods to evaluate ingredients for REACH, but some still conducted new In vivo tests to comply with REACH requirements for toxicity data and worker safety assessments. In some cases, ECHA, the agency that evaluates REACH dossiers, rejected registrants' alternative methods as insufficient and required new In vivo lests. As ECHA continues to evaluate dossiers, more requests for In vivo tests are likely. REACH tests on cosmetic ingredients appear only as "industrial chemicals legislation" tests in EU reports. Given the importance to consumers and the cosmetic industry of having cosmetics free of animal testing, the public should be made aware of REACH testing until the conflict between the regulations is resolved

concerns for many years. Public opinion and the activity of ansafety of cosmetics, which introduced a phased ban on in vivo esting of cosmetic products and their ingredients (Hartung, 2008) The first phase effective 2004 hanned the sale of cosmetic products that had undergone in vivo testing. For cosmettemic effects, such as developmental effects. The ban deadlines are firm, irrespective of the availability of alternative non-animal texts (Adler et al., 2011)

2009), which replaced Directive 76/768/EEC in 2009. Now, The use of in vivo tests for cosmetic products has raised ethical risk assessment of cosmetic ingredients in the EU must be performed based on historical in vivo studies, new in vitro (non-ani imal welfare organizations induced the European Parliament in mal) studies, or other approaches not requiring new tests on ver-2002 to enact the 7th amendment to Directive 76/768/REC on the tehrate animals. Such approaches include the read-across an proach, which predicts health effects of a chemical by using data tionship (OSAR) approach, which uses mathematical models to relate chemical structure to bioactivity; and the weight of evilocal health effects, such as eve irritation, and in 2013 for sys-develop conclusions (Patlewicz et al., 2014; Linkov et al., 2015; Chesnut et al., 2018; Rovida et al., 2020).

This ban was confirmed in Regulation EC 1223/2009 (EC

The Cosmetic Regulation allows in vivo tests for assessing cosmetic safety if the tests are performed for a non-cosmetic pur-



https://www.altex.org/index.php/al tex/article/view/2291/2305

Upholding the EU's Commitment to 'Animal Testing as a Last Resort' Under **REACH Requires a Paradigm Shift in How** We Assess Chemical Safety to Close the Gap Between Regulatory Testing and Modern Safety Science

Julia Fentem, Ian Malcomber, Gavin Maxwell and Carl Westmoreland

Animal use for testing chemicals under REACH continues to increase, despite advances in non-animal safety science during the past 15 years. The application of modern science and technology, and the use of 'next generation' weight-of-evidence ssessment approaches, are embedded in EU guidance for establishing the safety of cosmetics and foods – and of the ingredients used in these products. However, this is still not the case for the regulation of chemicals. Under the new Chemicals Strategy for Sustainability, thought leaders in human health and environmental protection are calling on the European Commission to quickly embrace the benefits of modern and innovative non-animal safety science, in place of outdated animal testing, if the EU is to be a leader in safe and sustainable innovation under the European Green Deal transformational change ambitions. The European Commission also needs to enable companies to meet their legal obligation to only conduct animal testing as a last resort, by providing a more flexible, science-based and consistent regulatory framework for assuring chemical safety, which supports the integration of data from different sources. We are at a tipping point for closing the gap between regulatory chemicals testing and modern safety science. It is time to join forces, across policy makers, scientists, regulators and lawyers, to lead the paradigm shift needed to deliver what EU citizens want - namely, chemicals and products that are safe and sustainable, without resorting to animal testing.

alternatives, animal testing, chemical safety, new approach methodologies, next generation risk assessment, product safety, REACH, regulatory testing, safety science

Introduction

The European Union Directive 2010/63/EU,1 on the protection of animals used for scientific purposes, is based on finement of animal use. These principles have been present 'in spirit' in the EU's horizontal legislation since 1986 However, the 2010 Directive made the Three Rs a firm legal requirement: the principles must be considered systemati- Unitorer Safety & Environmental Assura cally at all times when animals are used for scientific purposes in the EU, including where animals are used in

The EU Chemicals REACH Regulation (REACH; Registration, Evaluation, Authorisation and Restriction of Final julia femon@unitestr.com

Chemicals) came into force in 2007,4 as the outcome of the EU Commission's 2001 White Paper on the strategy for a future chemicals policy.5 REACH places the burden of proof on companies, who must identify and manage the Russell and Burch's concept of the 'Three Rs' which dates risks linked to the substances they manufacture and market back to 1959,2 that is, the replacement, reduction and re-in the EU. They have to demonstrate how the substance can



https://journals.sagepub.com/doi/p df/10.1177/02611929211040824



We call on the European Commission to do the following:

- 1. Protect and strengthen the cosmetics animal testing ban. Initiate legislative change to achieve consumer, worker, and environmental protection for all cosmetics ingredients without testing on animals for any purpose at any time.
- 2. Transform EU chemicals regulation. Ensure human health and the environment are protected by managing chemicals without the addition of new animal testing requirements.
- Modernise science in the EU. Commit to a legislative proposal plotting a roadmap to phase-out all animal testing in the EU before the end of the current legislative term.

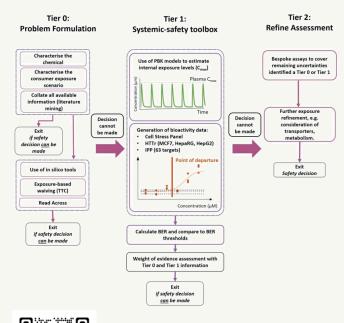
Save Cruelty Free Cosmetics ECI



Roadmap towards phasing out animal testing

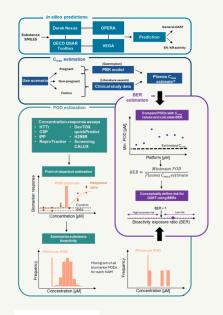
Consumer Safety: EU Scientific Committee for Cosmetics Safety (SCCS) Unilever create a 'safe space' for Benzophenone-4 (BP-4) ab initio NGRA case study

Systemic NGRA



Cable et al. 2025

DART NGRA





Muller et al. 2025

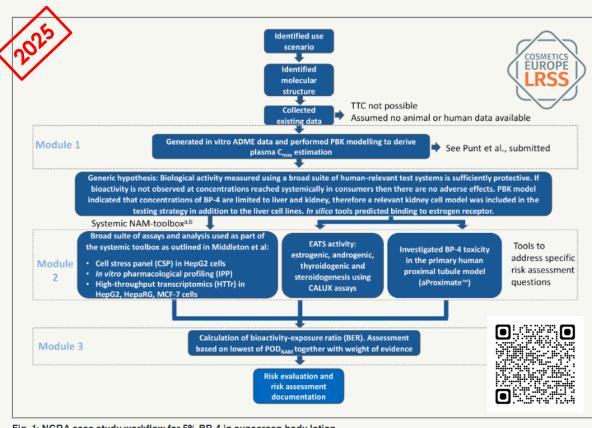


Fig. 1: NGRA case study workflow for 5% BP-4 in sunscreen body lotion

Adapted from Berggren et al. (2017) and Dent et al. (2021); a Middleton et al. (2022), b Cable et al. (2024).

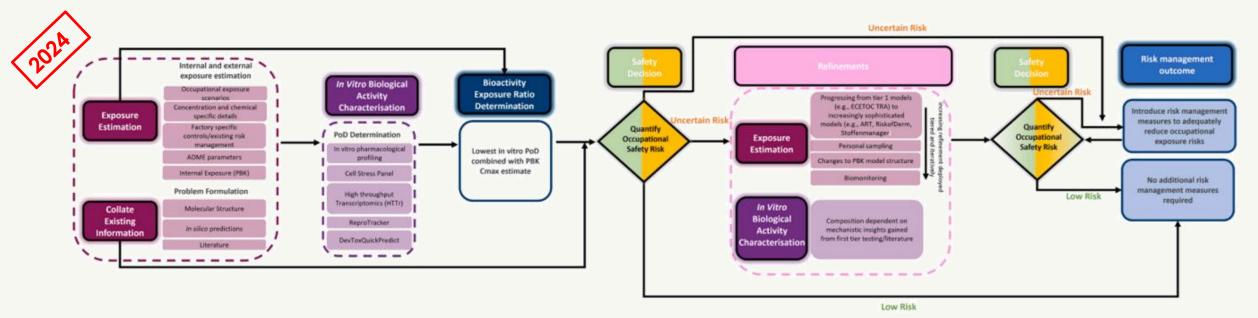
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Making safety decisions for a sunscreen active ingredient using next-generation risk assessment: Benzophenone-4 case study

https://doi.org/10.14573/altex.2501201

Occupational Safety: applying tiered NGRA approach to real-world sodium-2-hydroxyethane sulfonate (SI) case study



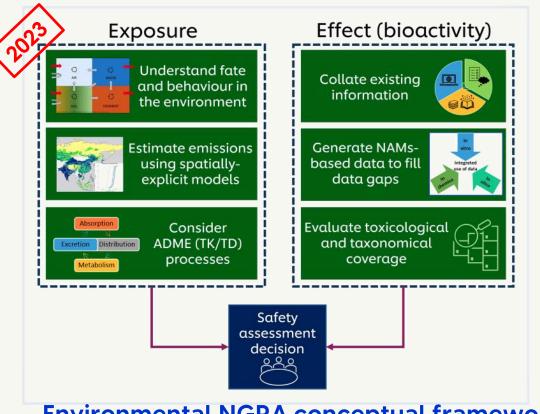


- NGRA for worker safety assessment developed in partnership with Clariant, Vantage, & ERM
- Consumer safety NGRA frameworks adapted & extended to enable occupational safety risks to be assessed and managed
- Such an approach could be followed to ensure that animal testing is only conducted as a "last resort" e.g. under EU REACH.



Environmental safety: developing an animal-free regulatory paradigm for chemical safety assessment





Environmental NGRA conceptual framework



https://doi.org/10.1002/ieam.4763

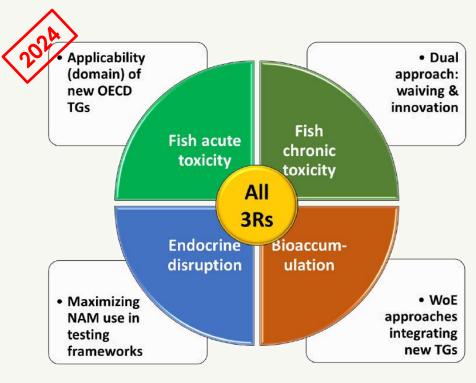


Fig. 1. Main priority areas and proposed actions, to be complemented with a long-term initiative for developing a new ESA paradigm.

EPAA Environmental Safety Assessment Team

40+ experts from across European Partnership for Alternative Approaches to Animal Testing (EPAA, including EU Commission, EU Agencies, Companies & Trade Associations) & partners



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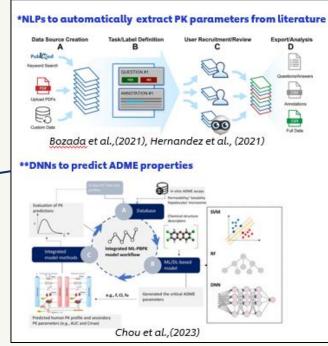




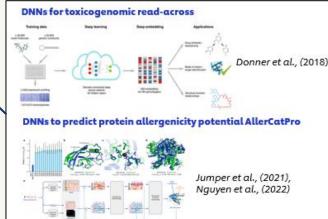
Harness AI: To democratise NAMs/NGRA approaches and rapidly build global capacity



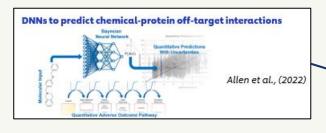




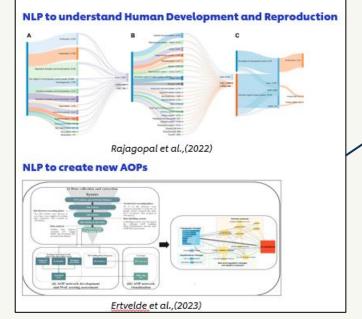
4 Identify analogues



2 Identify structure

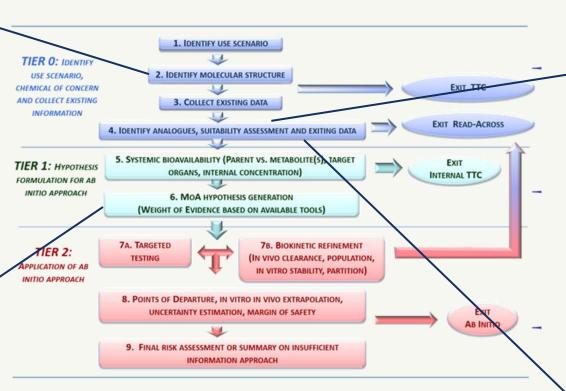


6 Mode of Action Hypothesis



Abbreviations:

- NLP Natural Language Processing
- DNN Deep Neural Network



Collaborate: We need to create 'safe spaces' & strengthen 'regulatory sandboxes' to build confidence in NAMs/NGRA together





Health Canada

Santé Canada



Science Approach Document

Bioactivity Exposure Ratio: Application in Priority Setting and Risk Assessment

Health Canada

March 2021

'Safe space' 'Regulatory Sandbox' A forum allowing confidential data sharing with regulators to A forum where method developers and regulators would discuss discuss the potential acceptability of a specific (set of) method(s) more generally the regulatory needs and solutions being developed to meet them. for a given case. Regulatory Standardize Qualification/ Scientific Identification Co-design Early/ for Regulatory **Use Case** Safe Harbour Dialogue Validation Advice of Gaps solutions Use Studies Innovative *EMA Innovation **EMA Scientific EPAA Partners** *EMA Data **EPAA NAMs** ASPA / ASPIS **PEPPER** Medicine Taskforce Guidance Submission Forum Designathon cluster Methods R&D* **ECHA** SCCS BP4 Examination of ASPIS and PARC task 2.1 OECD IATA ECHA help desk **NETVAL** NGRA dossier **PARC** Testing **Proposals** General Pre-**ECHA NGO EFSA EPAA NAM User APCRA** submission NAMs4NANO Forum dialogue Advice (GPSA) Joint exploration of NAMs in a scientific (non-**OECD WNT** binding) setting *Regulatory acceptance of new approach methodologies (NAMs) to PARERE reduce animal use testing | European Medicines Agency (EMA)

Science approach document - Bioactivity exposure ratio: Application in priority setting and risk assessment - Canada.ca



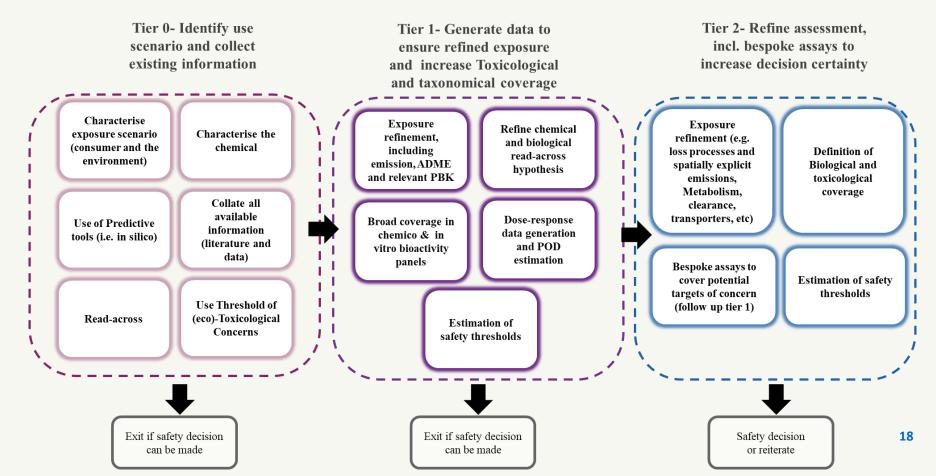
Integrate: integration of human health & environmental NGRA as first step in establishing safe & sustainable by design (SSbD) frameworks





https://doi.org/10.1016/j.namjnl.2 025.100028

Integrated Human Health & Environmental NGRA framework





To bridge the science to regulation gap:

- 1. Harness AI to democratise regulatory use of NAMs/NGRA and rapidly build global capacity
- 2. Create confidential 'safe spaces' for industry: regulator scientific exchange to support new innovations
- **3. Strengthen 'regulatory sandbox' platforms** to accelerate confidence-building in NAMs/NGRA use
- 4. Integrate human health & environment NGRA frameworks as first step in establishing SSbD approach



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Unilever Safety, Environmental & Regulatory Sciences (SERS) colleagues (<u>sers.unilever.com</u>)



All Unilever SERS collaborators:





